

Hybrid analytical / numerical coupled-mode modeling of guided wave devices



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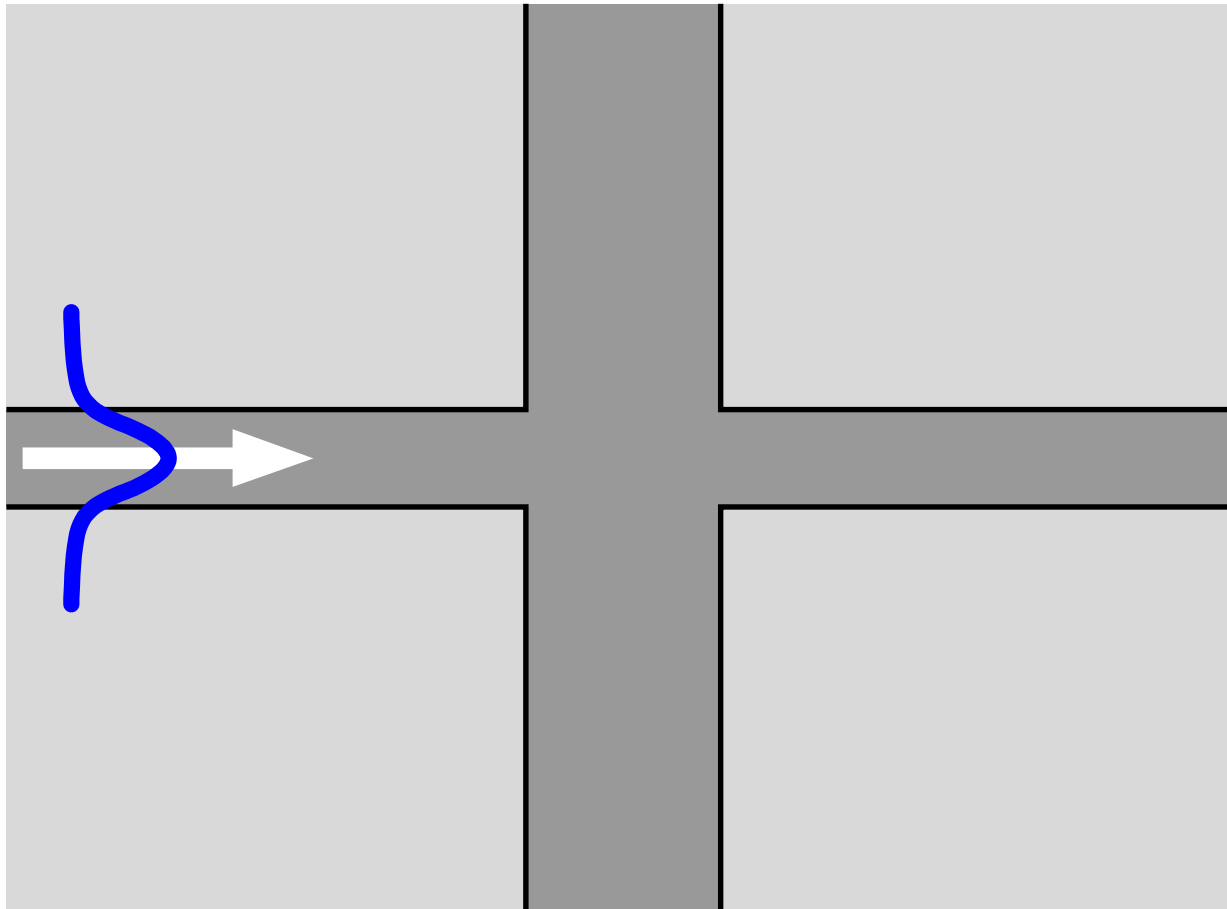
XV International Workshop on Optical Waveguide Theory and Numerical Modeling, Varese, Italy, 20.04.2006

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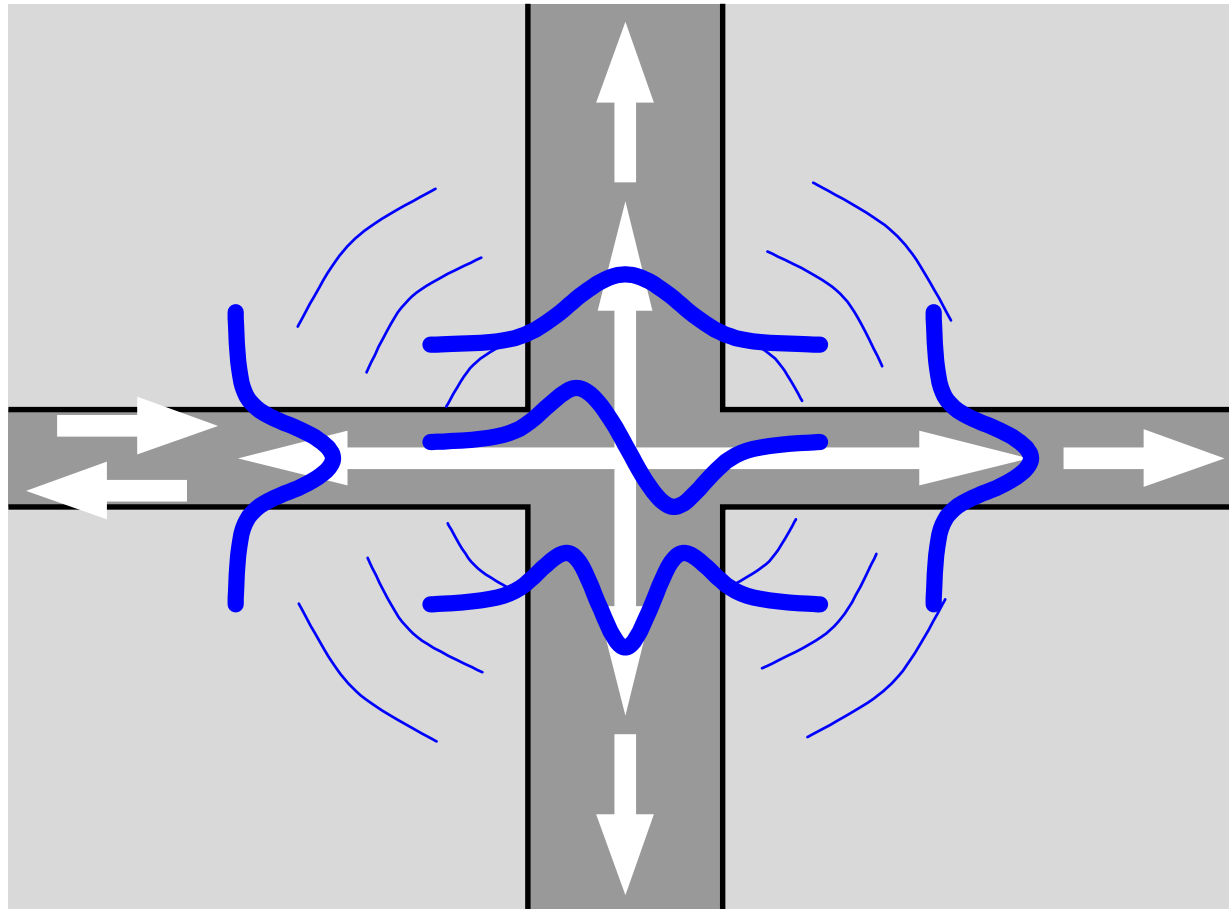
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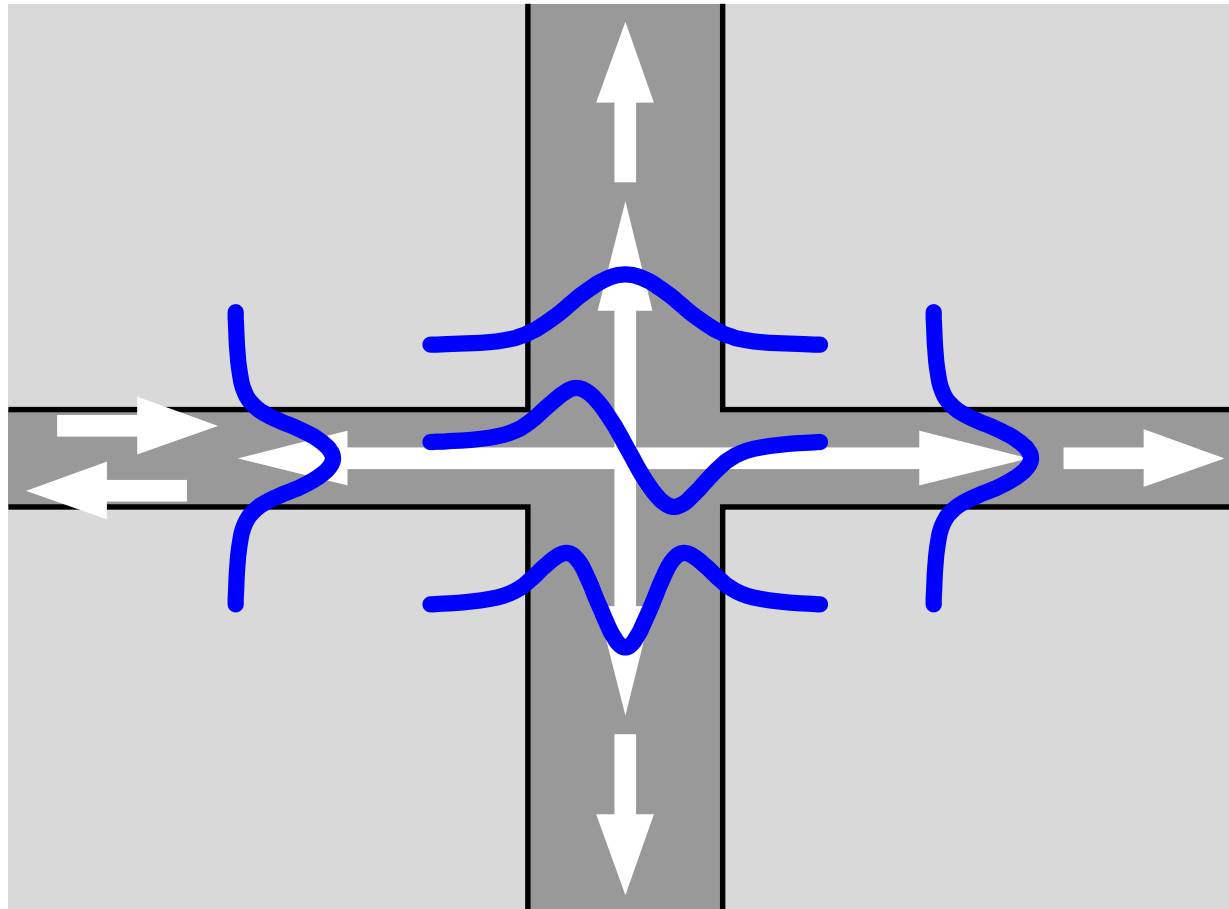
A waveguide crossing



A waveguide crossing



A waveguide crossing

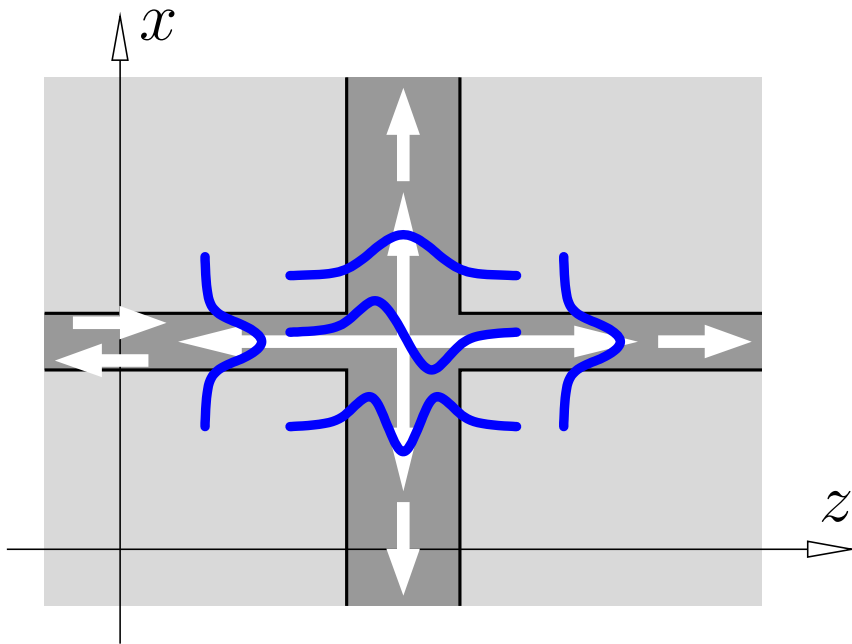


Outline

Hybrid analytical / numerical coupled-mode modeling

- An example problem
- CMT field ansatz
- Amplitude discretization, 1-D FEM
- Galerkin procedure
- Numerical results

Field ansatz



Basis elements (crossing):

- guided modes of the horizontal WG

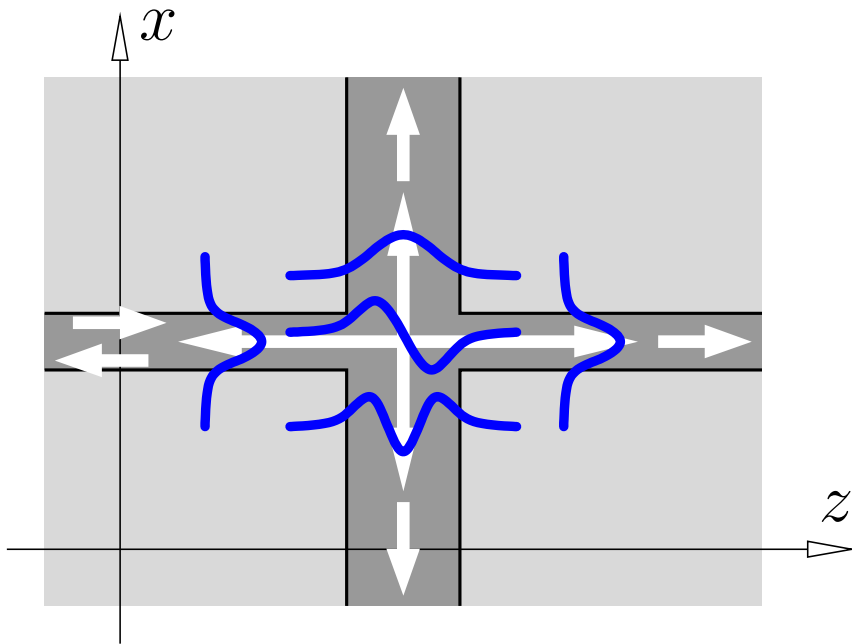
$$\psi_m^{\text{f,b}}(x, z) = \begin{pmatrix} \tilde{\mathbf{E}} \\ \tilde{\mathbf{H}} \end{pmatrix}_m^{\text{f,b}}(x) e^{\mp i \beta_m^{\text{f,b}} z},$$

- guided modes of the vertical WG

$$\psi_m^{\text{u,d}}(x, z) = \begin{pmatrix} \tilde{\mathbf{E}} \\ \tilde{\mathbf{H}} \end{pmatrix}_m^{\text{u,d}}(z) e^{\mp i \beta_m^{\text{u,d}} x}$$

- (and further terms).

Field ansatz



Basis elements (crossing):

- guided modes of the horizontal WG

$$\psi_m^{\text{f,b}}(x, z) = \begin{pmatrix} \tilde{\mathbf{E}} \\ \tilde{\mathbf{H}} \end{pmatrix}_m^{\text{f,b}}(x) e^{\mp i \beta_m^{\text{f,b}} z},$$

- guided modes of the vertical WG

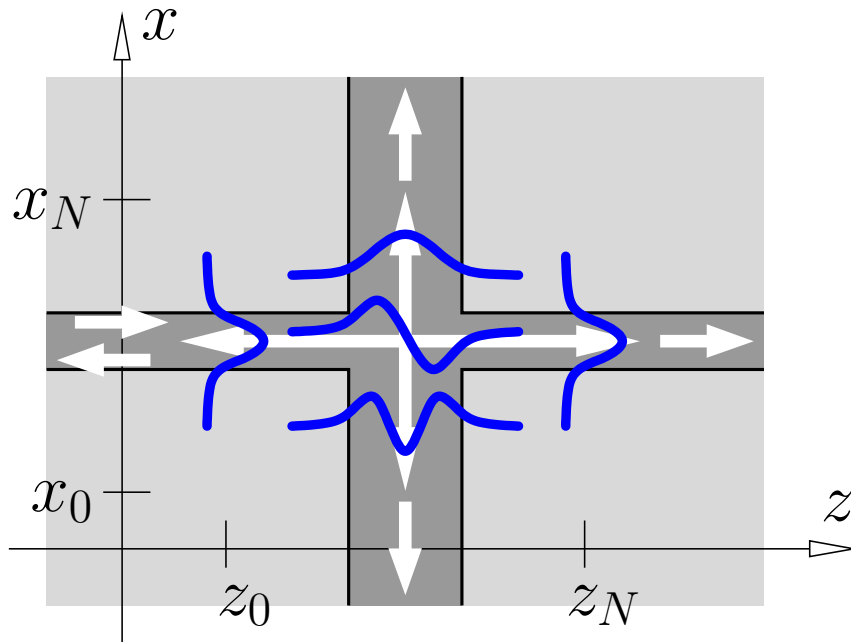
$$\psi_m^{\text{u,d}}(x, z) = \begin{pmatrix} \tilde{\mathbf{E}} \\ \tilde{\mathbf{H}} \end{pmatrix}_m^{\text{u,d}}(z) e^{\mp i \beta_m^{\text{u,d}} x}$$

- (and further terms).

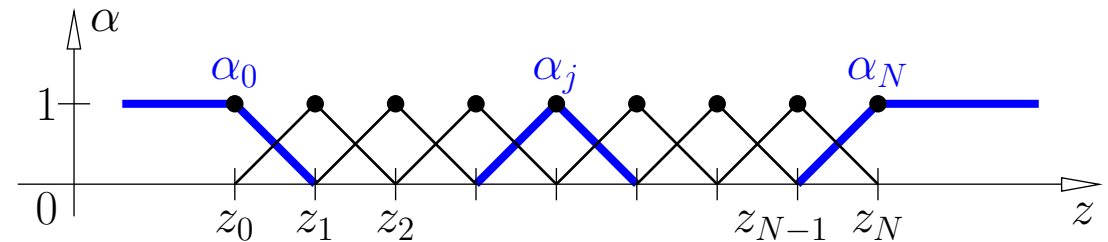
$$\begin{pmatrix} \mathbf{E} \\ \mathbf{H} \end{pmatrix}(x, z) = \sum_m f_m(z) \psi_m^{\text{f}}(x, z) + \sum_m b_m(z) \psi_m^{\text{b}}(x, z) \\ + \sum_m u_m(x) \psi_m^{\text{u}}(x, z) + \sum_m d_m(x) \psi_m^{\text{d}}(x, z) \quad f_m, b_m, u_m, d_m: ?$$

General: a reasonable superposition of known fields with amplitudes that are functions of suitable propagation coordinate(s).

Amplitude functions, discretization



1-D linear finite elements



$$f_m(z) = \sum_{j=0}^N f_{m,j} \alpha_j(z),$$

$b_m(z), u_m(x), d_m(x)$ analogous.

$$\left(\begin{matrix} E \\ H \end{matrix} \right)(x, z) = \sum_k a_k \left(\alpha(\cdot) \psi(\cdot)(x, z) \right) =: \sum_k a_k \left(\begin{matrix} E_k \\ H_k \end{matrix} \right)(x, z),$$

$$k \in \{\text{waveguides, modes, elements}\}, \quad a_k \in \{f_{m,j}, b_{m,j}, u_{m,j}, d_{m,j}\}.$$

Galerkin procedure

2-D:

$$\begin{array}{l|l} \nabla \times \mathbf{H} - \mathrm{i}\omega\epsilon_0\epsilon \mathbf{E} = 0 \\ -\nabla \times \mathbf{E} - \mathrm{i}\omega\mu_0 \mathbf{H} = 0 \end{array} \quad \cdot \begin{pmatrix} \mathbf{E}_t \\ \mathbf{H}_t \end{pmatrix}^*, \quad \iint_{\text{comp. domain}}$$

$$\Leftrightarrow \iint \mathcal{K}(\mathbf{E}_t, \mathbf{H}_t; \mathbf{E}, \mathbf{H}) \, dx \, dz = 0 \quad \text{for all } \mathbf{E}_t, \mathbf{H}_t,$$

where

$$\mathcal{K}(\mathbf{E}_t, \mathbf{H}_t; \mathbf{E}, \mathbf{H}) = \mathbf{E}_t^* \cdot (\nabla \times \mathbf{H}) - \mathbf{H}_t^* \cdot (\nabla \times \mathbf{E}) - \mathrm{i}\omega\epsilon_0\epsilon \mathbf{E}_t^* \cdot \mathbf{E} - \mathrm{i}\omega\mu_0 \mathbf{H}_t^* \cdot \mathbf{H}.$$

Galerkin procedure, continued

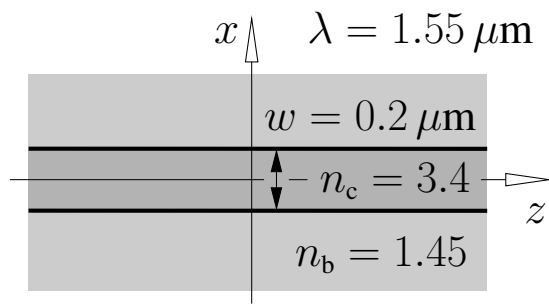
- insert $\begin{pmatrix} \mathbf{E} \\ \mathbf{H} \end{pmatrix} = \sum_k a_k \begin{pmatrix} \mathbf{E}_k \\ \mathbf{H}_k \end{pmatrix},$
- select $\{\mathbf{u}\}$: indices of unknown coefficients,
 $\{\mathbf{g}\}$: given values related to prescribed influx,
- require $\iint \mathcal{K}(\mathbf{E}_l, \mathbf{H}_l; \mathbf{E}, \mathbf{H}) \, dx \, dz = 0 \quad \text{for } l \in \{\mathbf{u}\},$
- compute $K_{lk} = \iint \mathcal{K}(\mathbf{E}_l, \mathbf{H}_l; \mathbf{E}_k, \mathbf{H}_k) \, dx \, dz .$

$$\sum_{k \in \{\mathbf{u}, \mathbf{g}\}} K_{lk} a_k = 0, \quad l \in \{\mathbf{u}\},$$
$$(K_{\mathbf{u}\mathbf{u}} \quad K_{\mathbf{u}\mathbf{g}}) \begin{pmatrix} \mathbf{a}_{\mathbf{u}} \\ \mathbf{a}_{\mathbf{g}} \end{pmatrix} = 0, \quad \text{or} \quad K_{\mathbf{u}\mathbf{u}} \mathbf{a}_{\mathbf{u}} = -K_{\mathbf{u}\mathbf{g}} \mathbf{a}_{\mathbf{g}} .$$

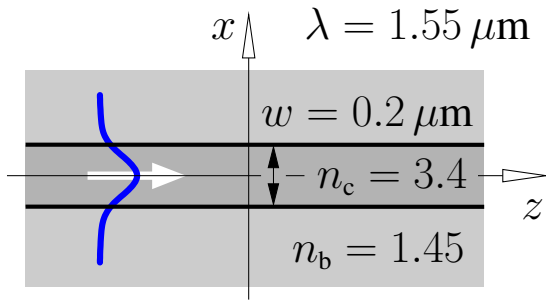
Further issues

... plenty.

Straight waveguide



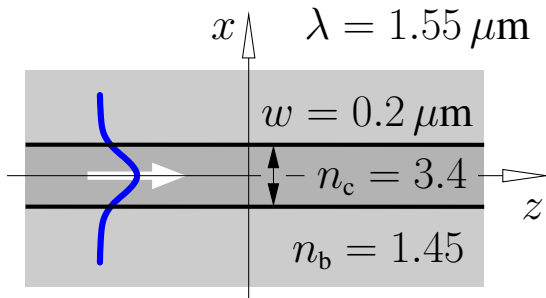
Straight waveguide



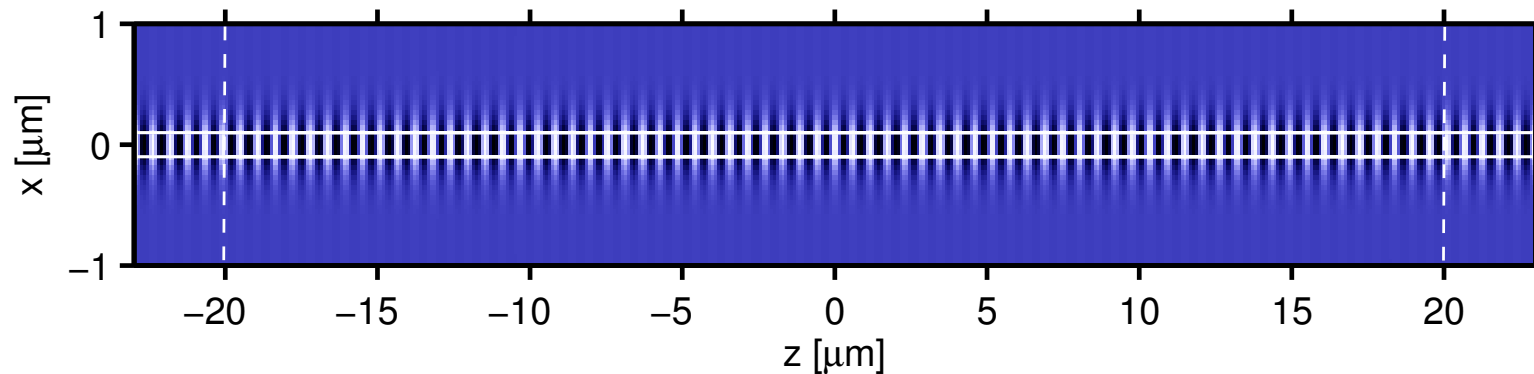
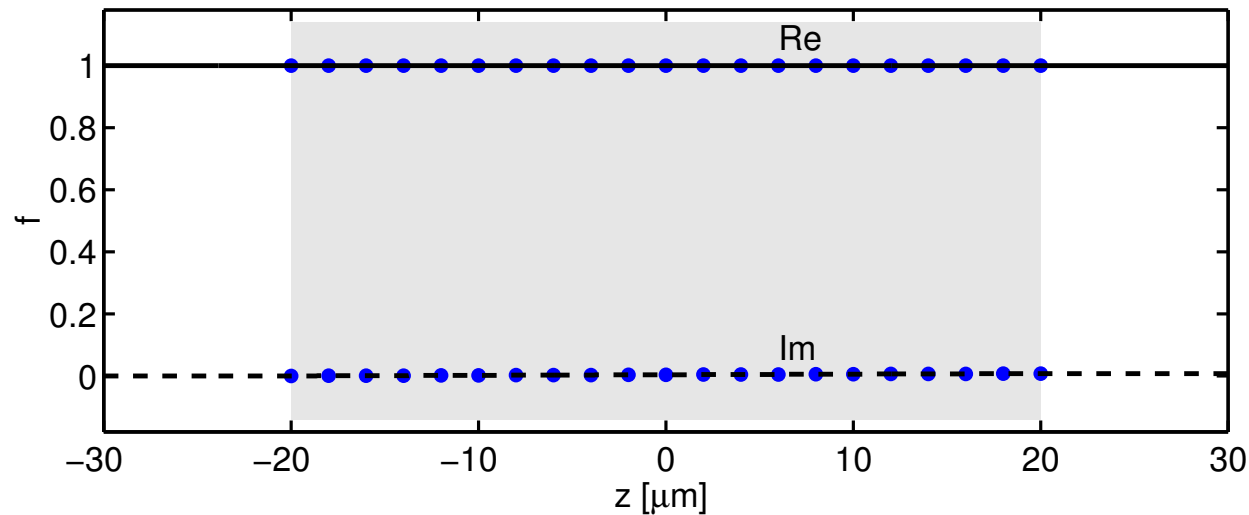
Basis element: fundamental forward propagating TE mode,
input amplitude $f_0 = 1$,

FEM discretization in $z \in [-20, 20] \mu\text{m}$, $\Delta z = 2 \mu\text{m}$,
computational domain $z \in [< -20, > 20] \mu\text{m}$, $x \in [-3.0, 3.0] \mu\text{m}$.

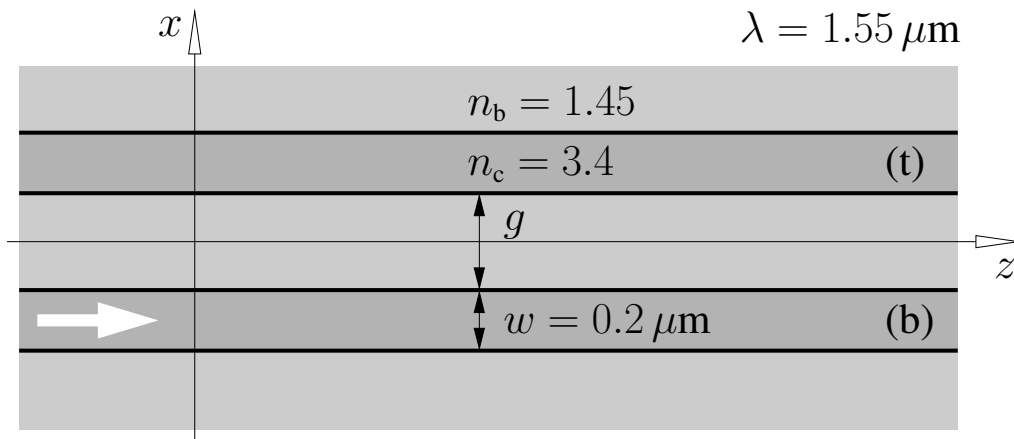
Straight waveguide



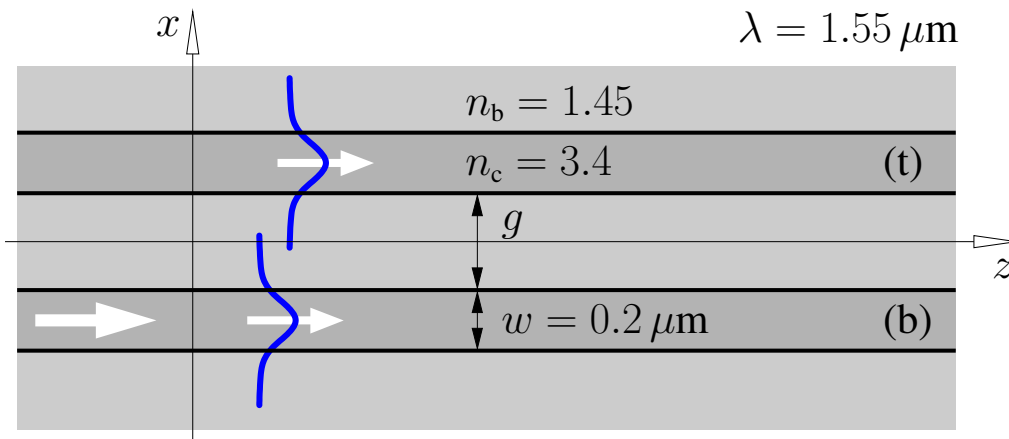
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Two coupled parallel cores, amplitudes



Two coupled parallel cores, amplitudes

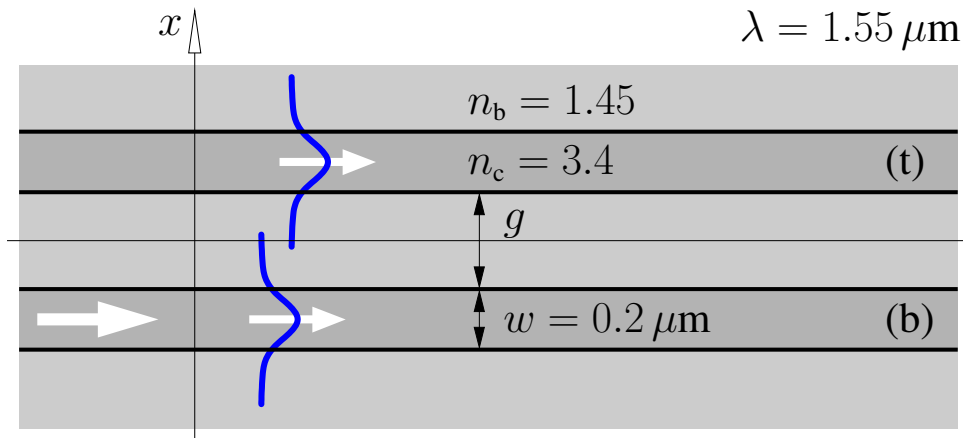


Basis elements: forward propagating fundamental TE modes of the separate cores, input amplitude $f_b = 1$,

FEM discretization:
 $z \in [-20, 20] \mu\text{m}$, $\Delta z = 0.5 \mu\text{m}$,

computational domain:
 $z \in [-20, 20] \mu\text{m}$, $x \in [-3.0, 3.0] \mu\text{m}$.

Two coupled parallel cores, amplitudes

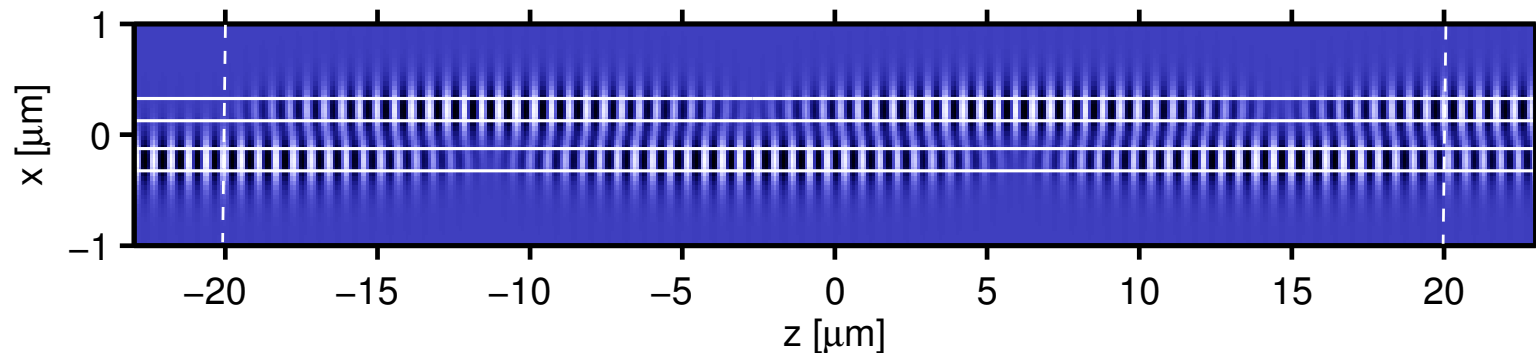
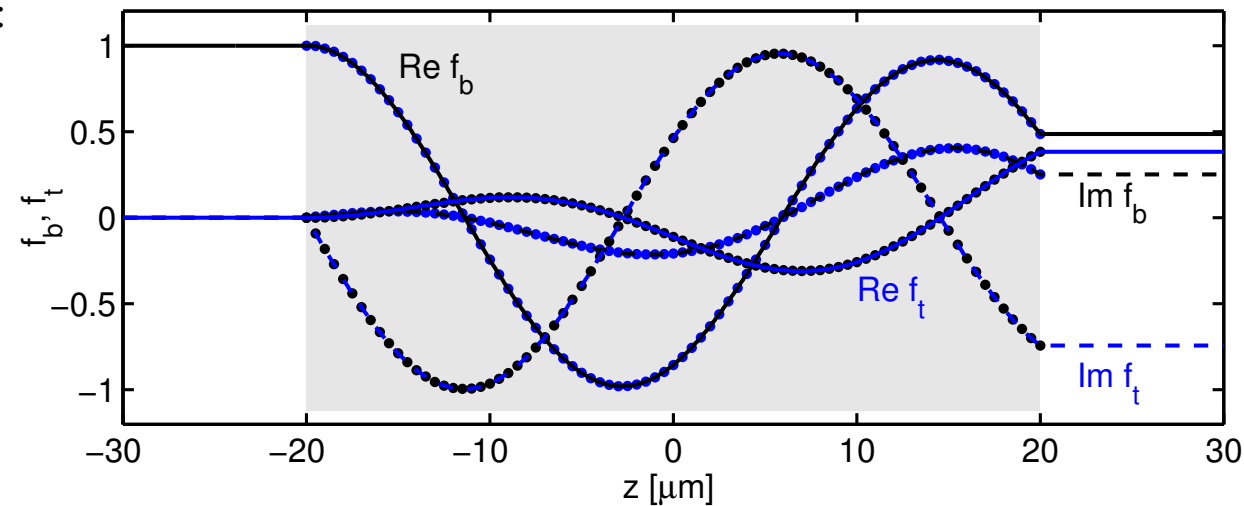


Basis elements: forward propagating fundamental TE modes of the separate cores, input amplitude $f_b = 1$,

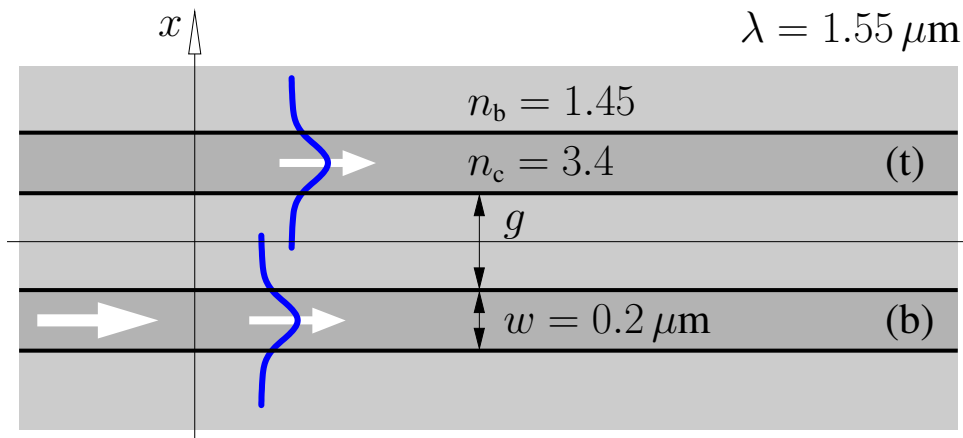
FEM discretization:
 $z \in [-20, 20] \mu\text{m}$, $\Delta z = 0.5 \mu\text{m}$,

computational domain:
 $z \in [-20, 20] \mu\text{m}$, $x \in [-3.0, 3.0] \mu\text{m}$.

$g = 0.25 \mu\text{m}$:



Two coupled parallel cores, modal power

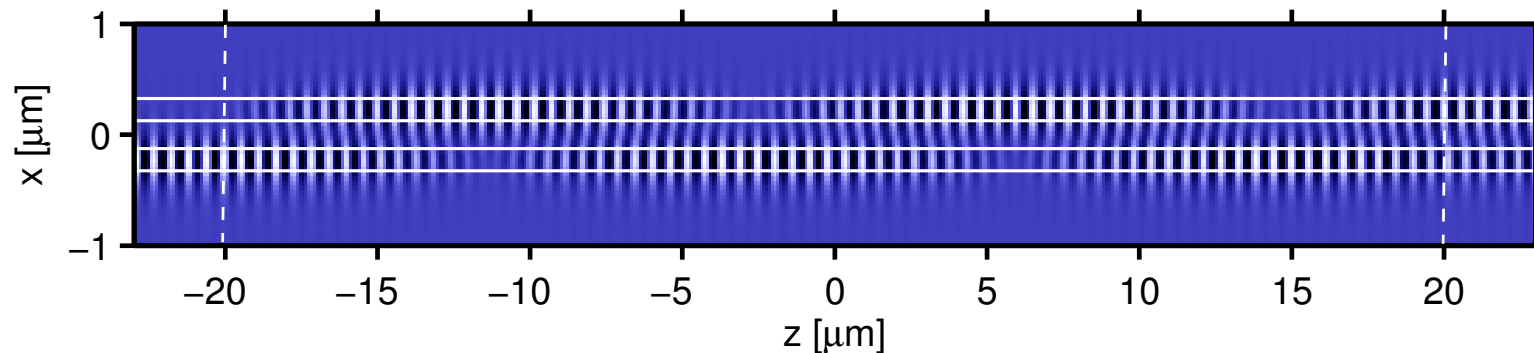
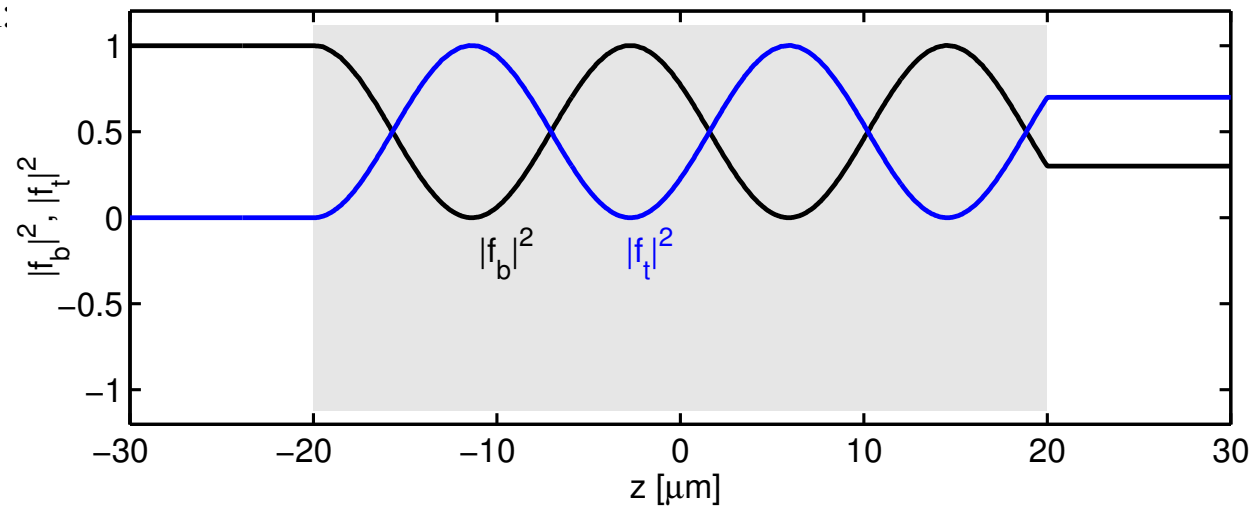


Basis elements: forward propagating fundamental TE modes of the separate cores, input amplitude $f_b = 1$,

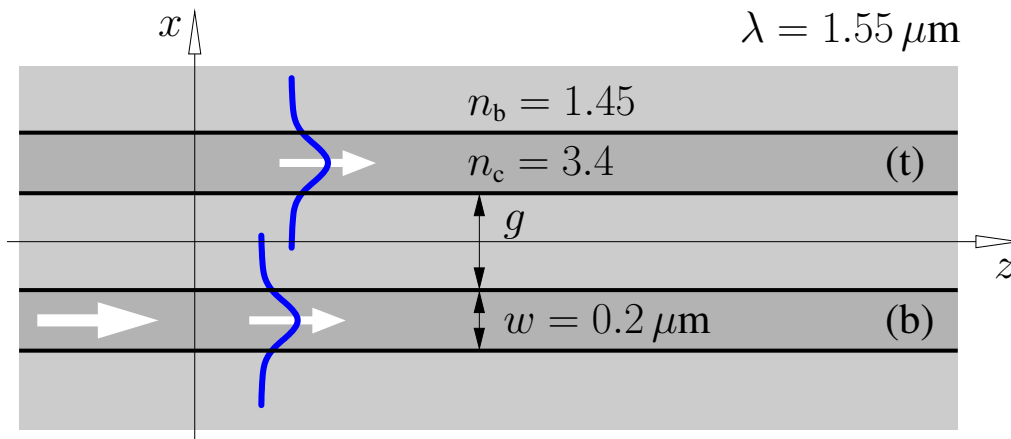
FEM discretization:
 $z \in [-20, 20] \mu\text{m}$, $\Delta z = 0.5 \mu\text{m}$,

computational domain:
 $z \in [-20, 20] \mu\text{m}$, $x \in [-3.0, 3.0] \mu\text{m}$.

$g = 0.25 \mu\text{m}$:



Two coupled parallel cores, coupling length



Basis elements: polarized forward propagating fundamental modes of the separate cores, input amplitude $f_b = 1$,

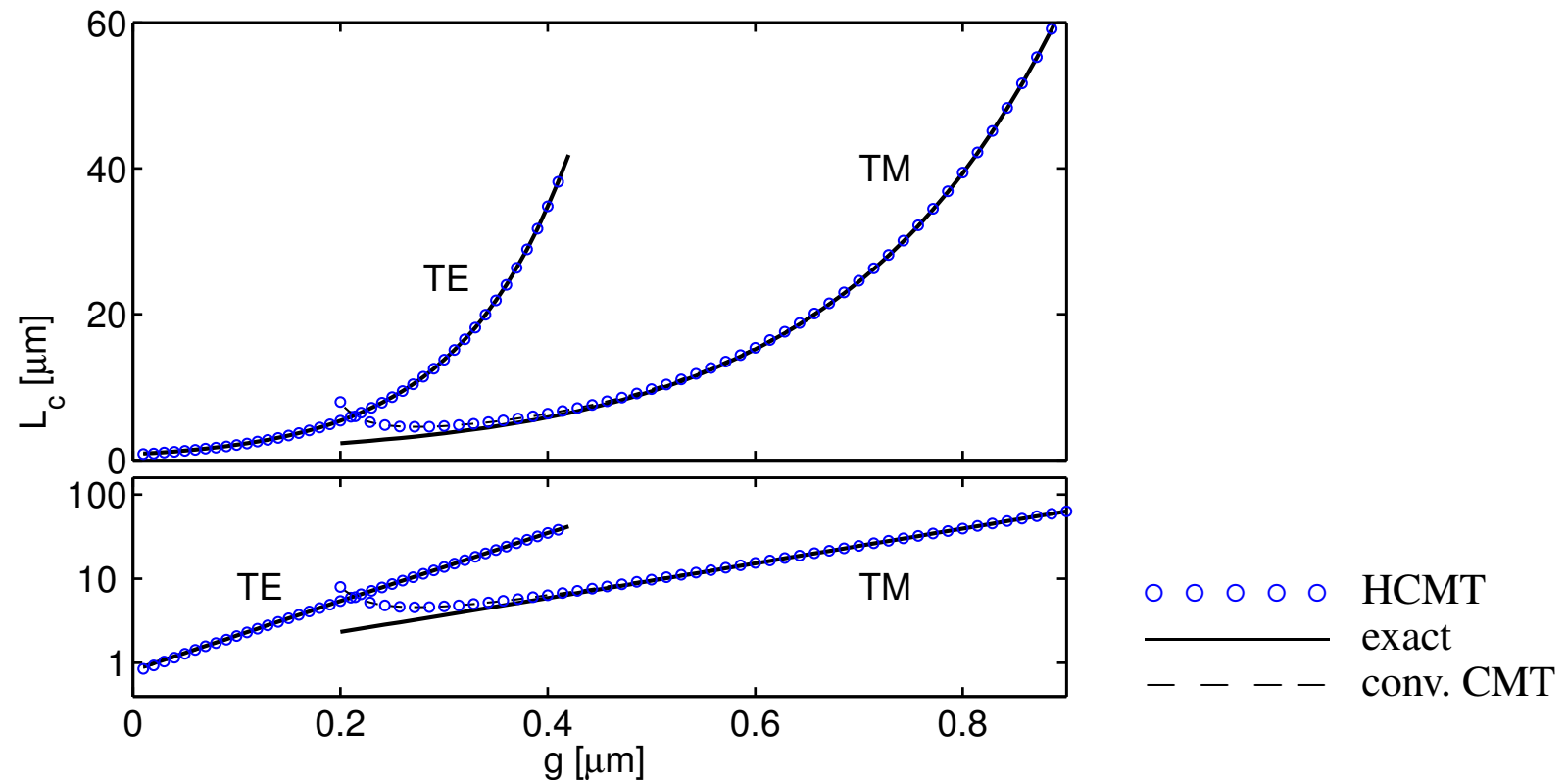
FEM discretization (TE):

$z \in [-20, 20] \mu\text{m}$, $\Delta z = 0.5 \mu\text{m}$,

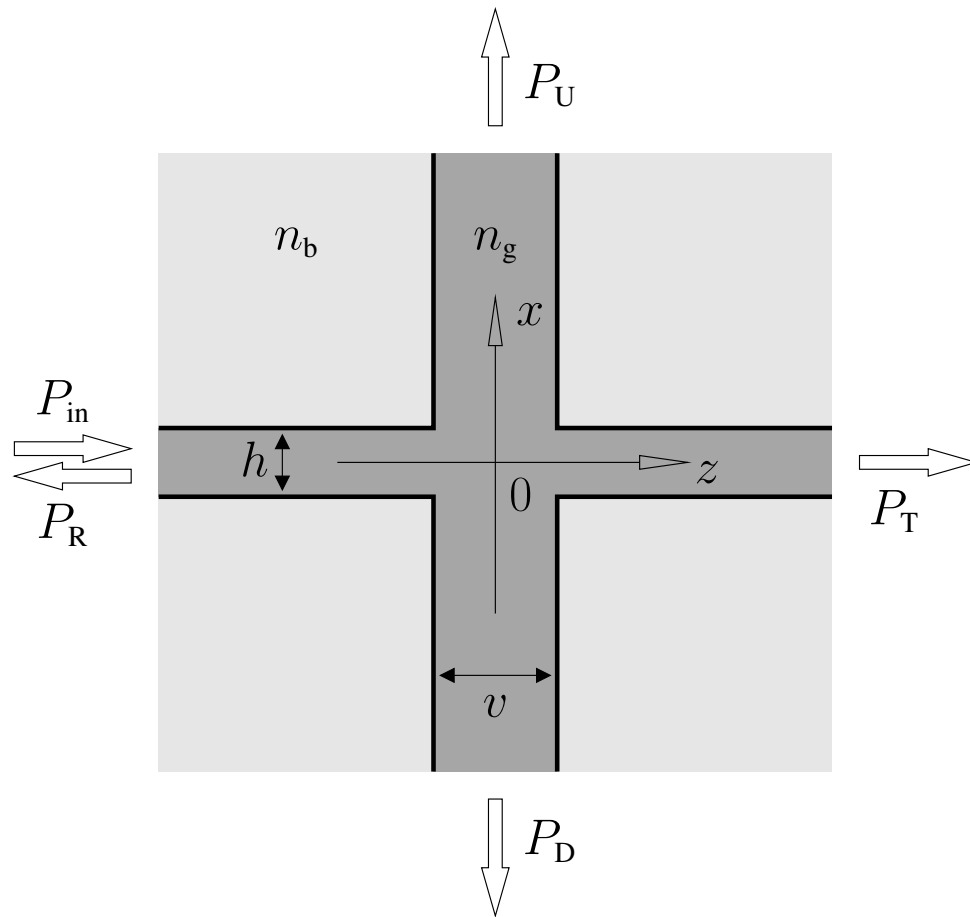
computational domain (TE):

$z \in [-20, 20] \mu\text{m}$, $x \in [-3.0, 3.0] \mu\text{m}$.

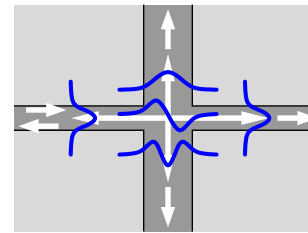
Coupling length:



The waveguide crossing



$n_g = 3.4$, $n_b = 1.45$, $\lambda = 1.55 \mu\text{m}$,
 $h = 0.2 \mu\text{m}$, v variable, TE polarization.



Basis elements:
 guided modes of the horizontal
 and vertical cores
 (directional variants).

FEM discretization:

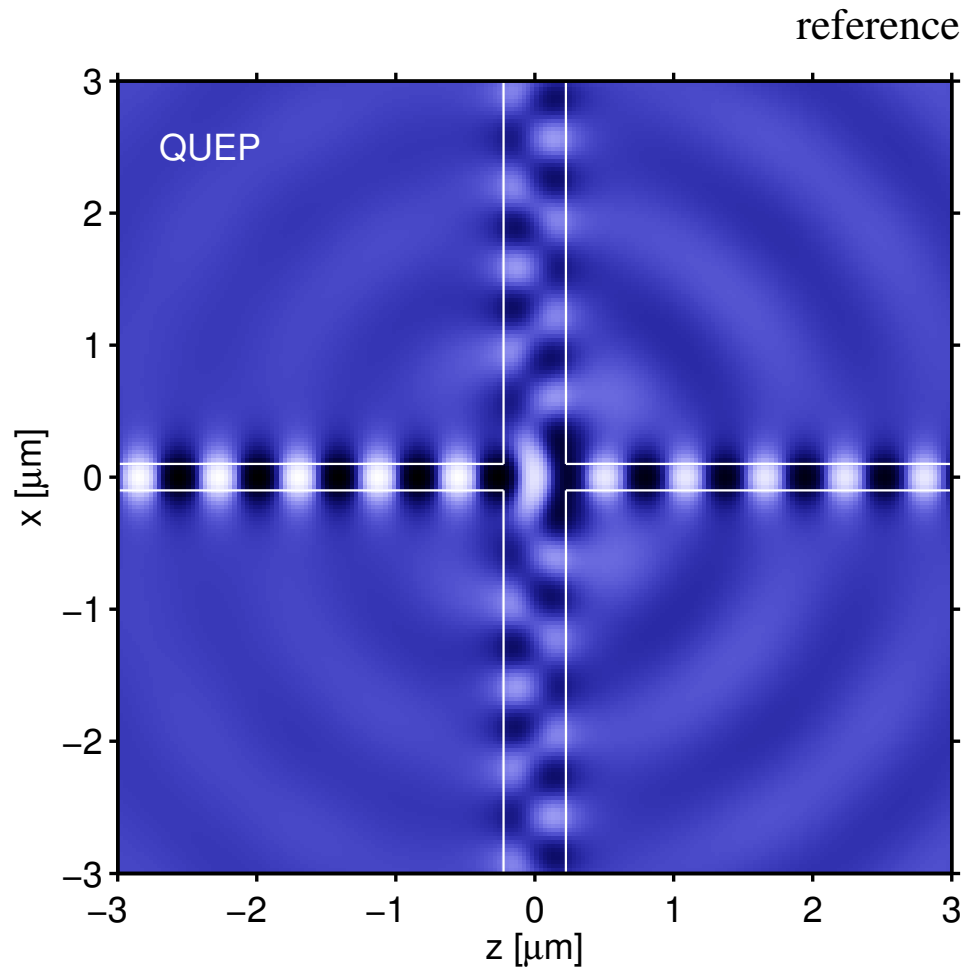
$z \in [v/2 - 1.5 \mu\text{m}, v/2 + 1.5 \mu\text{m}]$, $\Delta x = 0.025 \mu\text{m}$,
 $x \in [w/2 - 1.5 \mu\text{m}, w/2 + 1.5 \mu\text{m}]$, $\Delta z = 0.025 \mu\text{m}$.

Computational window:

$z \in [-4 \mu\text{m}, 4 \mu\text{m}]$, $x \in [-4 \mu\text{m}, 4 \mu\text{m}]$.

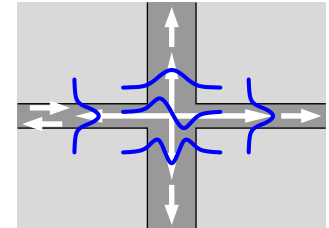
Waveguide crossing, fields (I)

$v = 0.45 \mu\text{m}$:

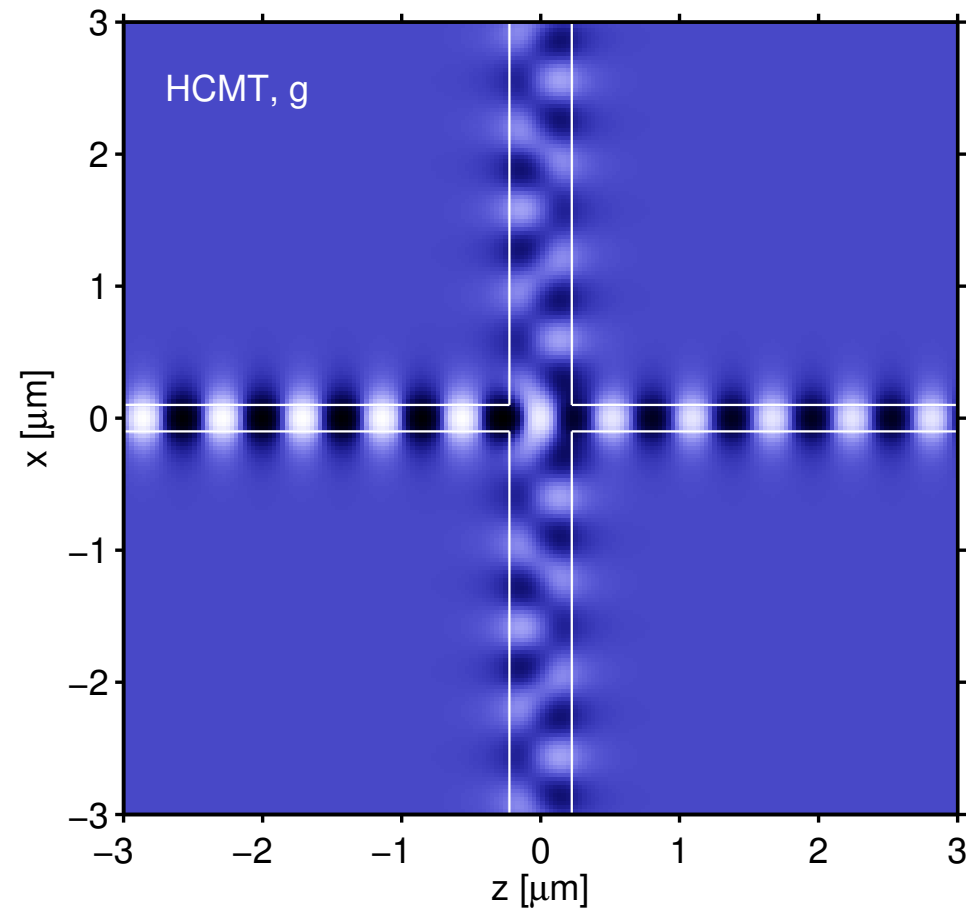
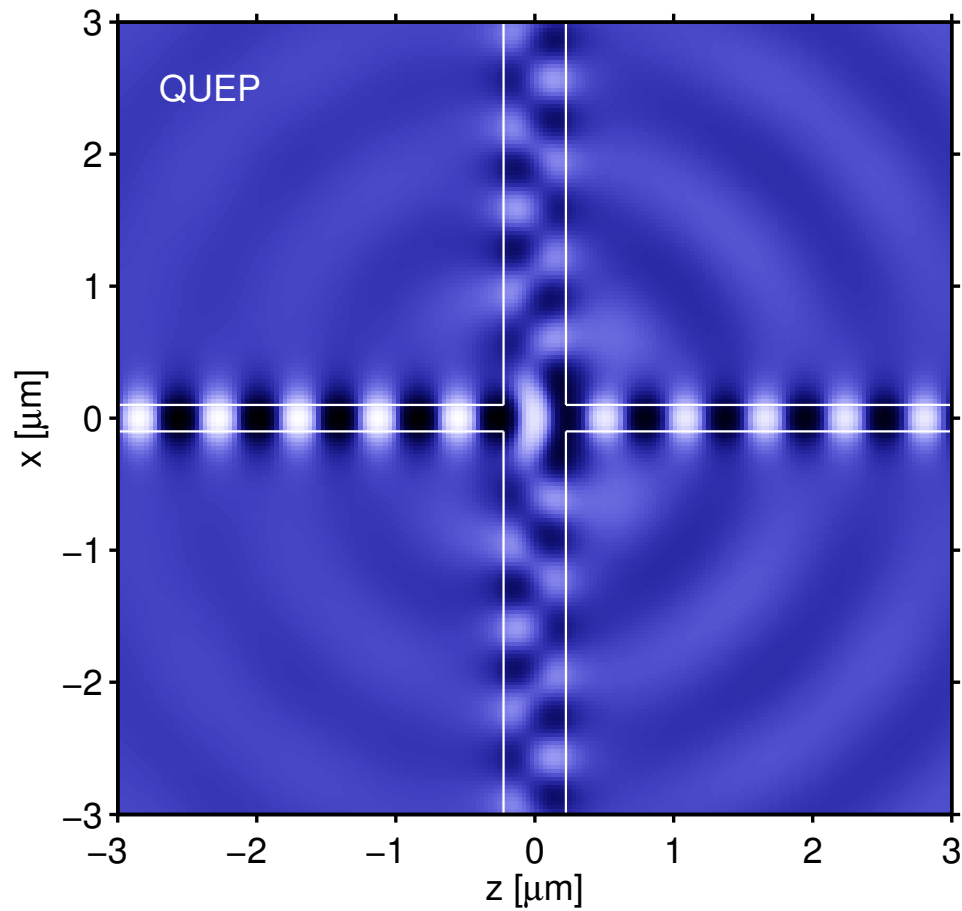


Waveguide crossing, fields (I)

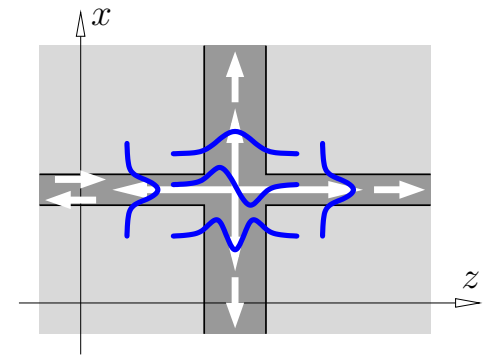
$v = 0.45 \mu\text{m}$:



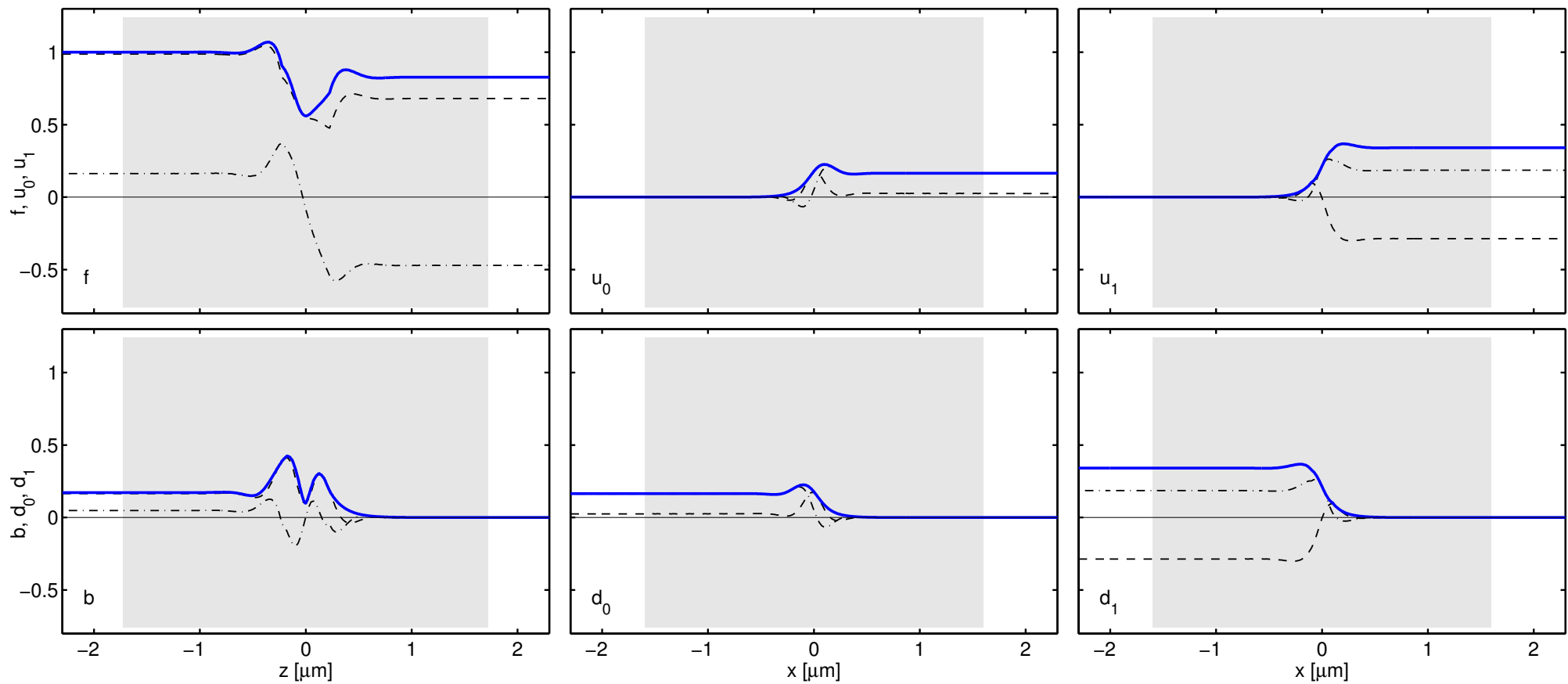
reference



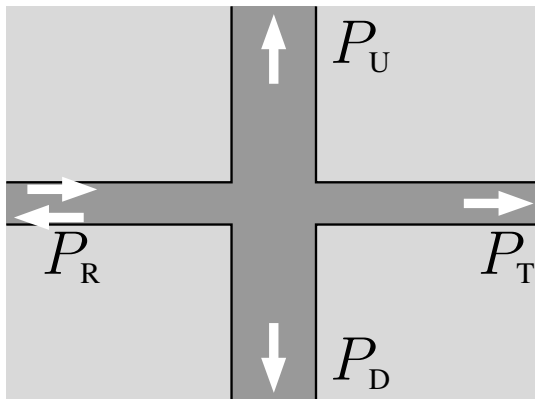
Waveguide crossing, amplitude functions



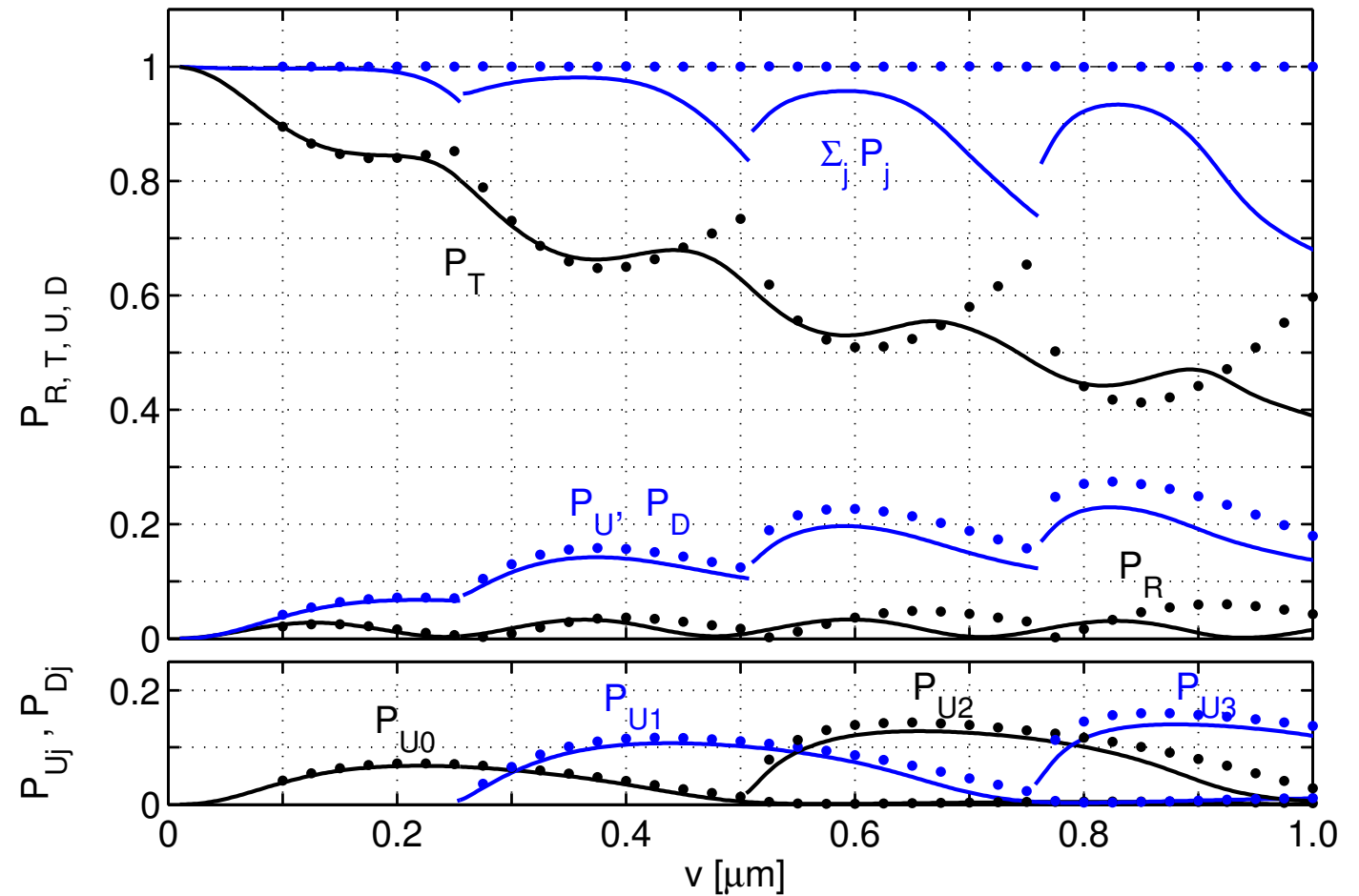
$v = 0.45 \mu\text{m}$:



Waveguide crossing, power transfer (I)

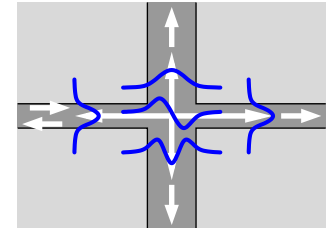


— QUEP, reference
 ••••• HCMT

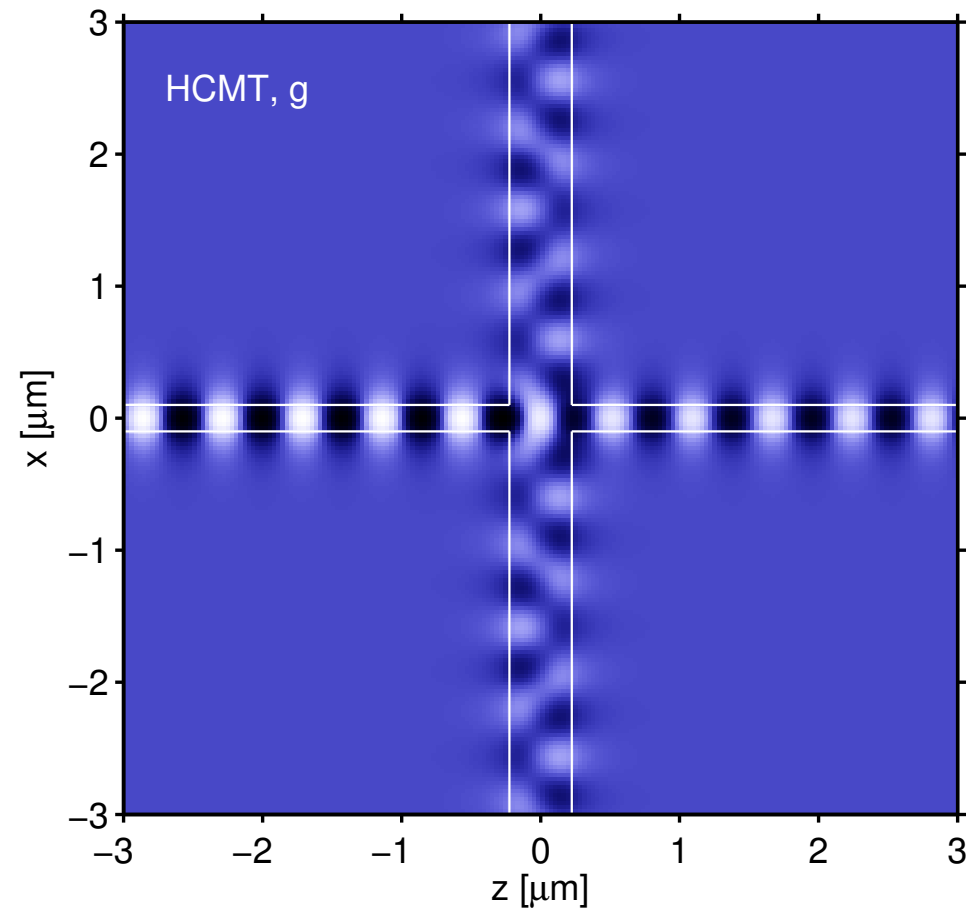
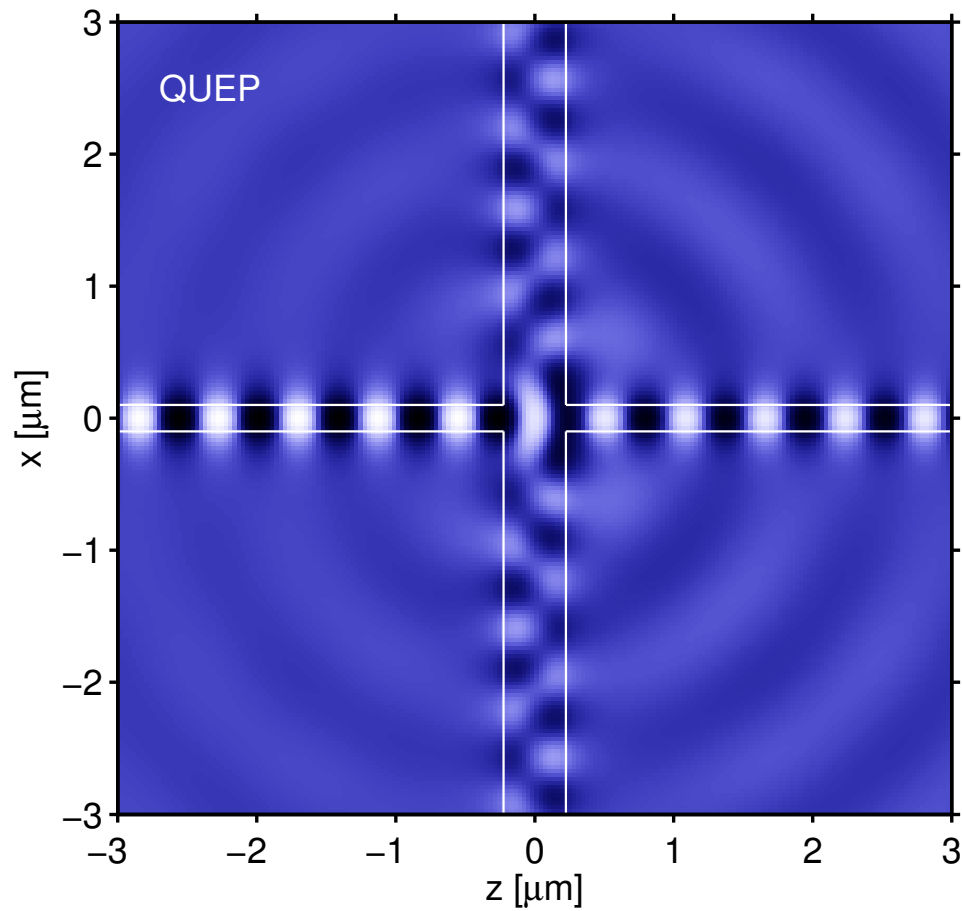


Waveguide crossing, fields (II)

$v = 0.45 \mu\text{m}$:

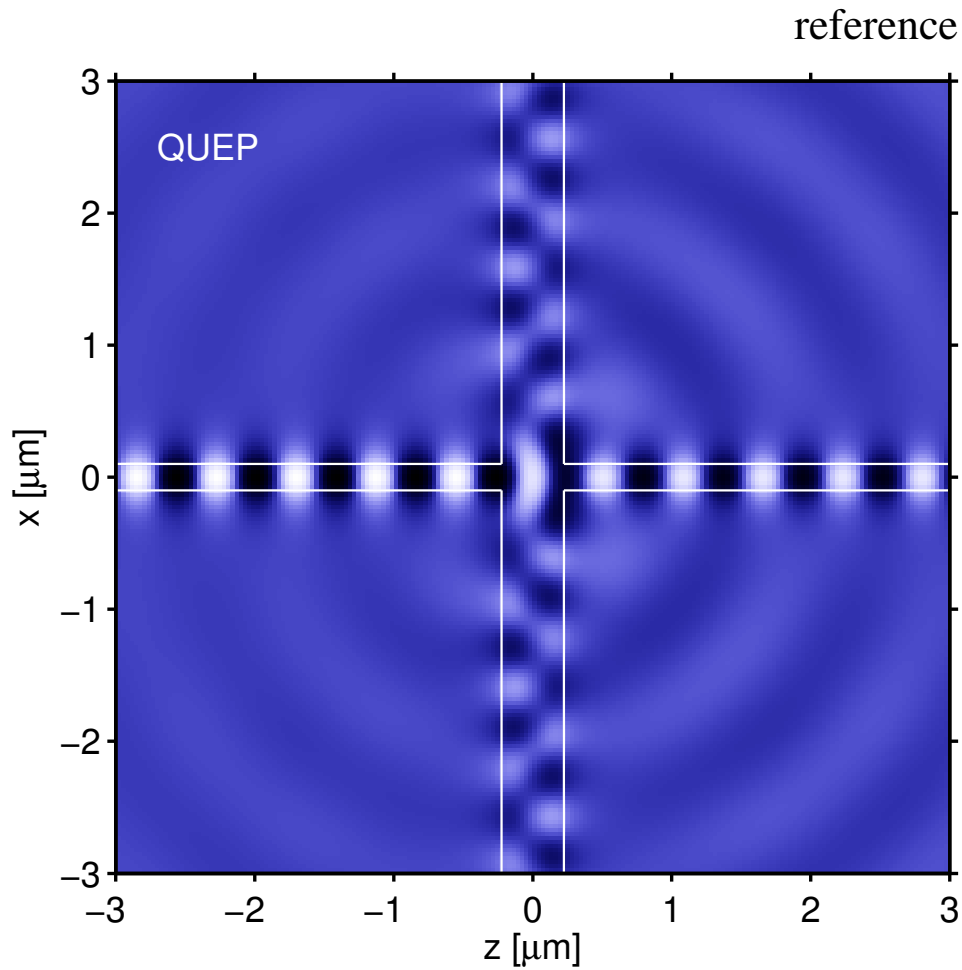


reference

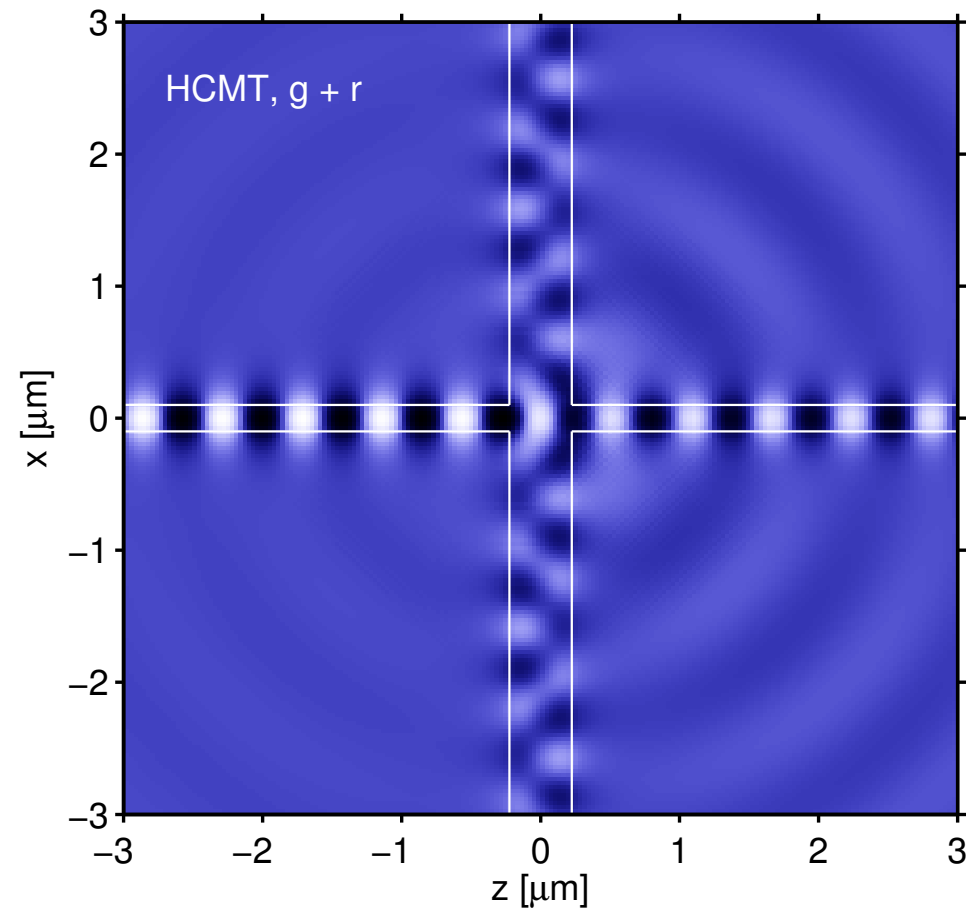
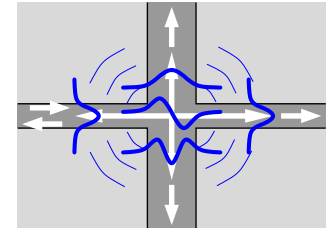


Waveguide crossing, fields (II)

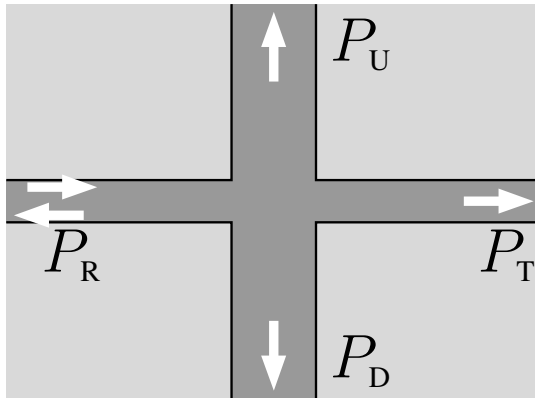
$v = 0.45 \mu\text{m}$:



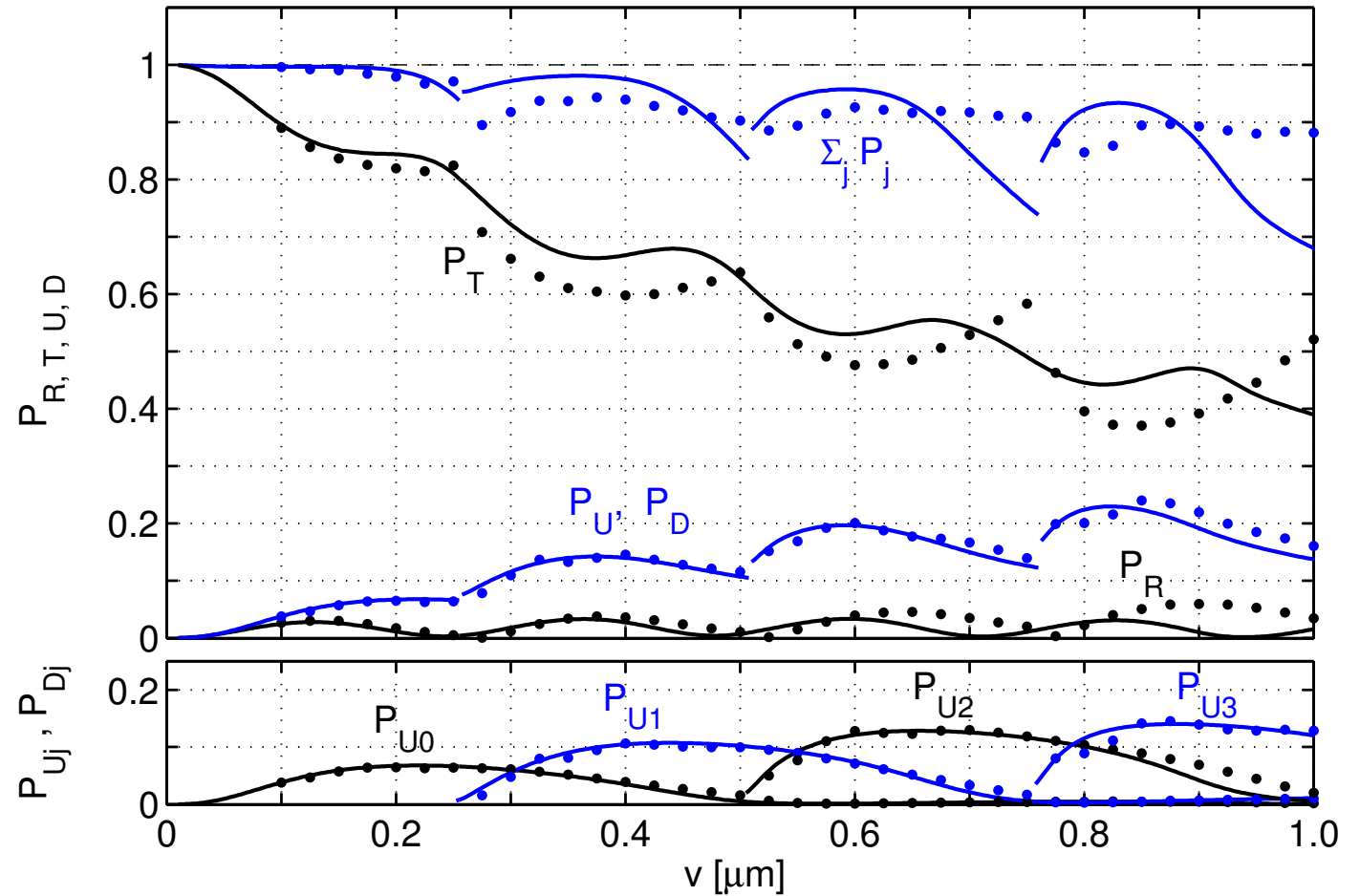
HCMT basis fields:
guided modes
+ 4 Gaussian beams,
outgoing along the diagonals.



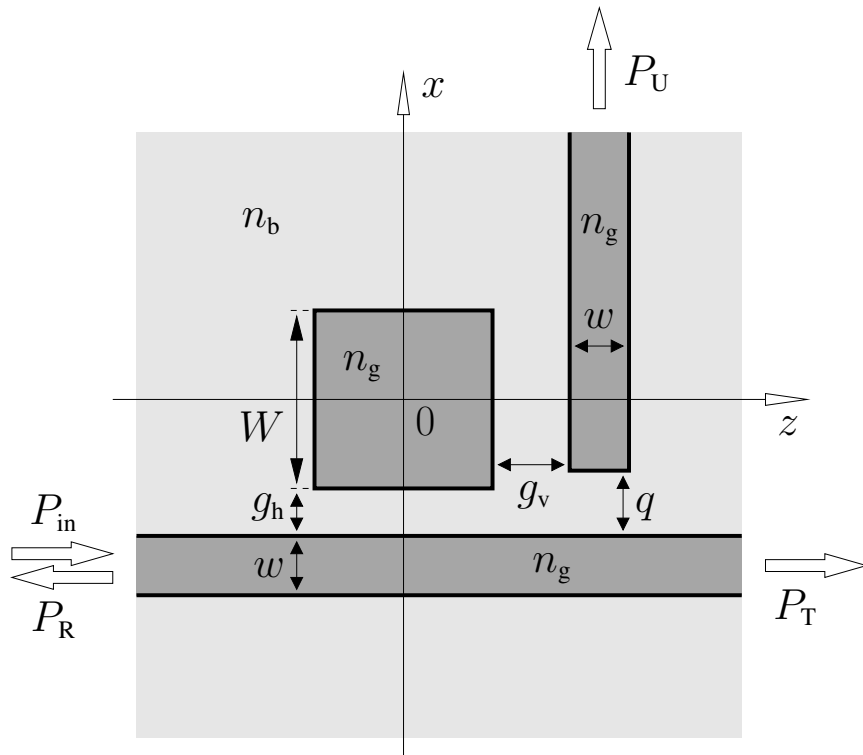
Waveguide crossing, power transfer (II)



- QUEP, reference
- • • • HCMT, incl. templates for radiated fields

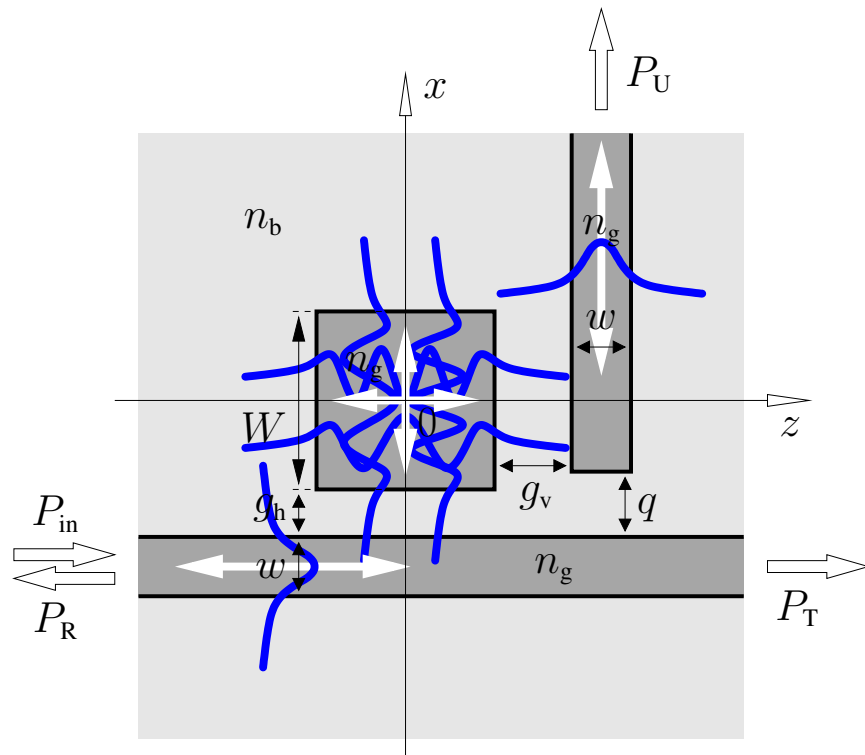


Square resonator



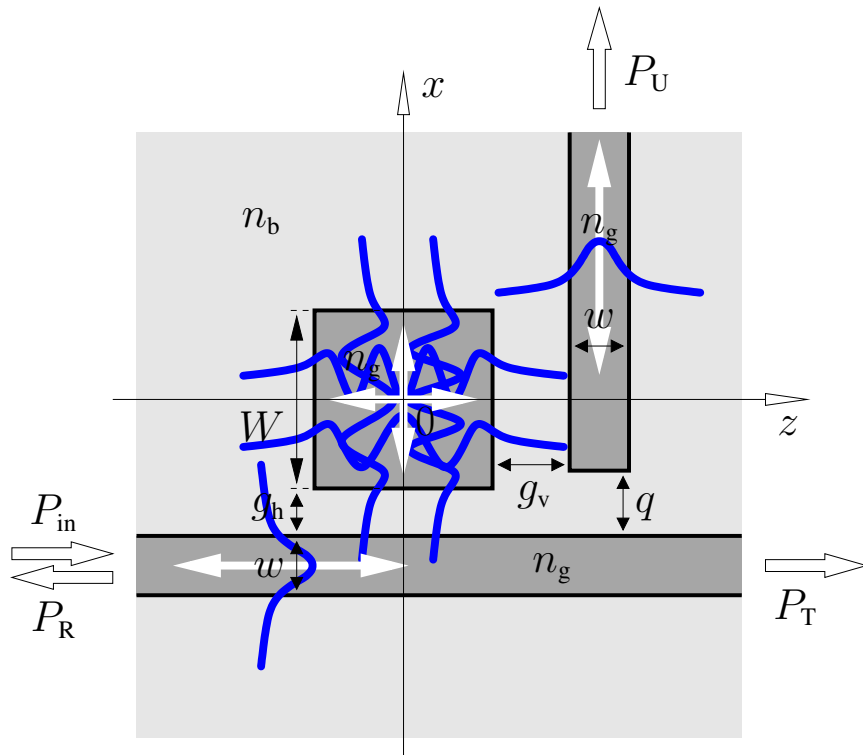
TE, $n_g = 3.4$, $n_b = 1.0$,
 $W = 1.786 \mu\text{m}$, $w = 0.1 \mu\text{m}$,
 $g_v = 0.385 \mu\text{m}$, $g_h = q = 0.355 \mu\text{m}$.

Square resonator

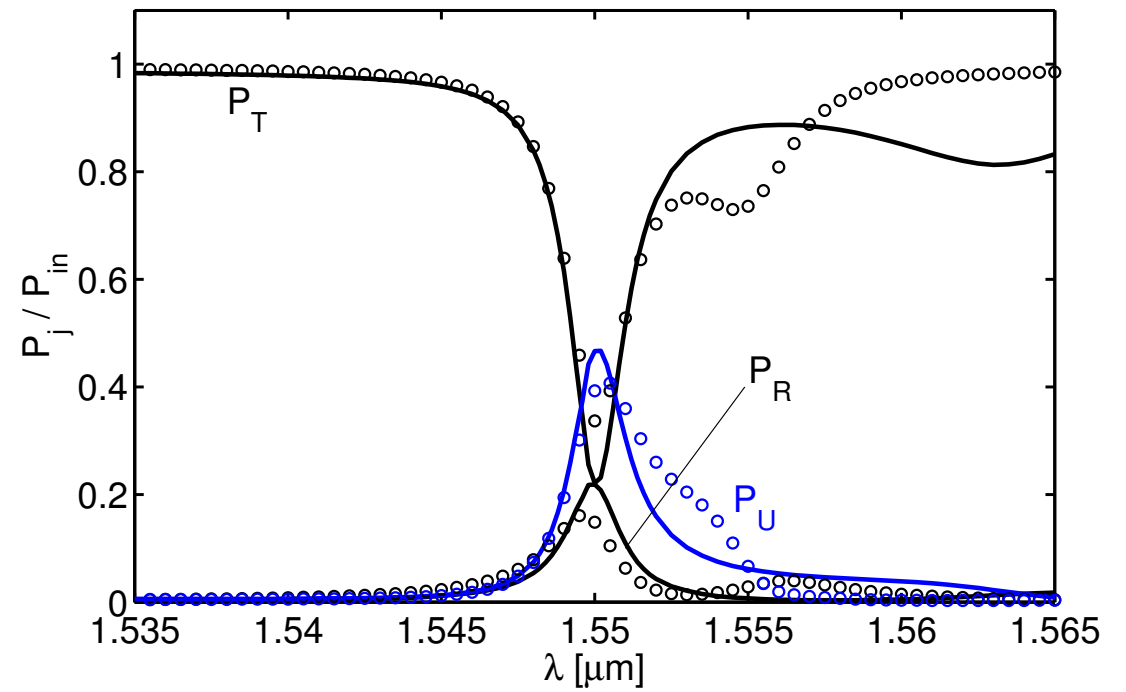


TE, $n_g = 3.4$, $n_b = 1.0$,
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Square resonator



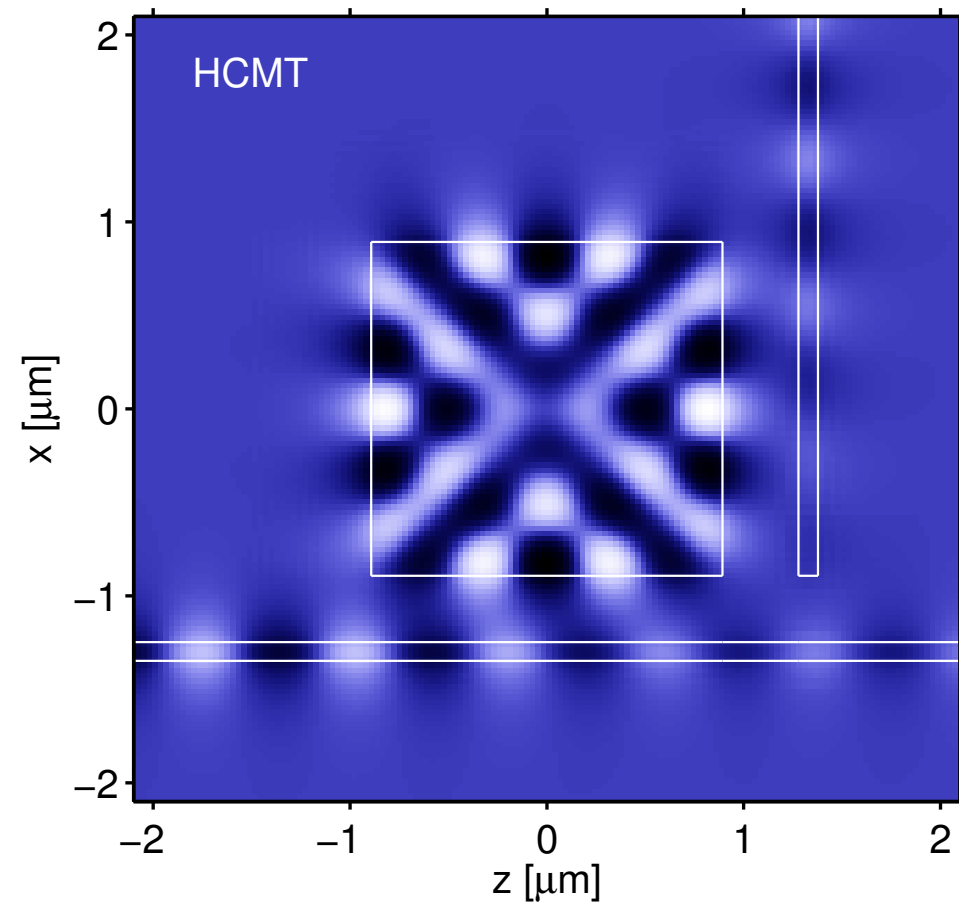
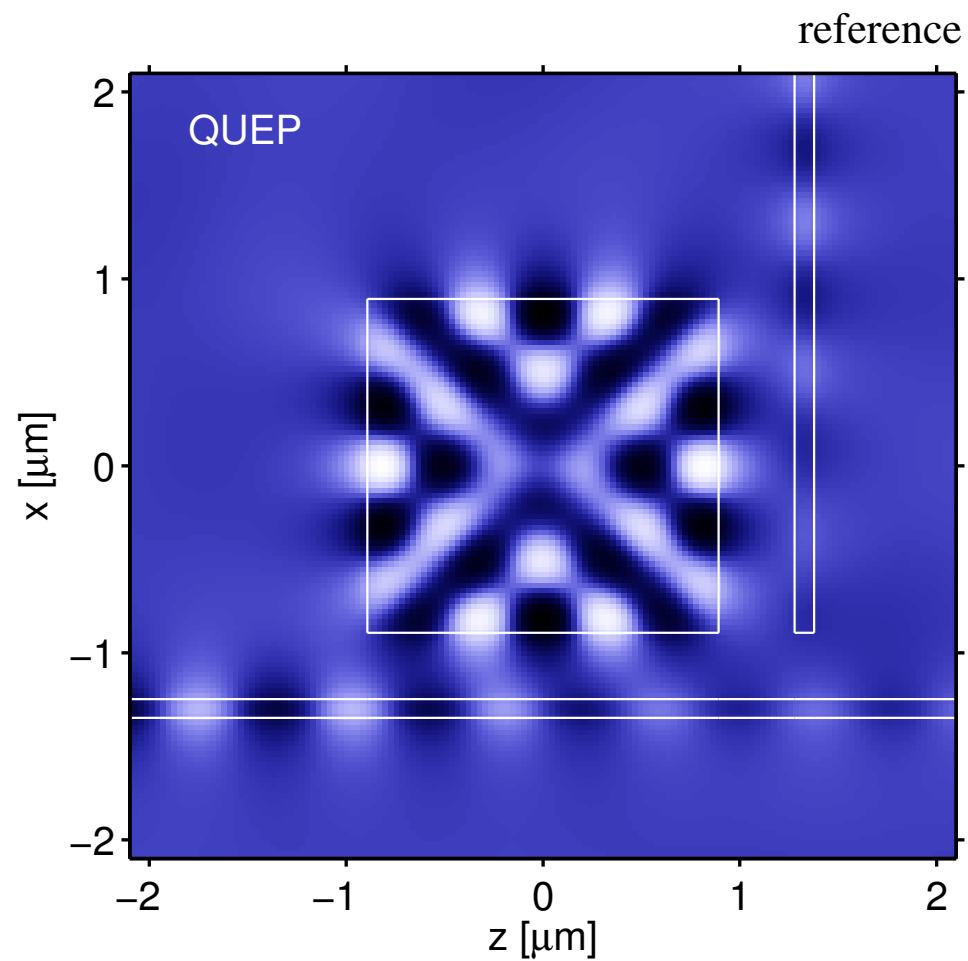
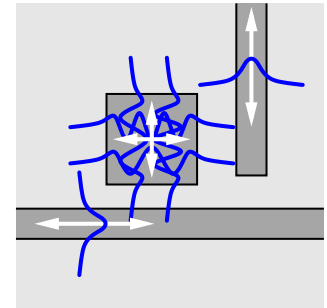
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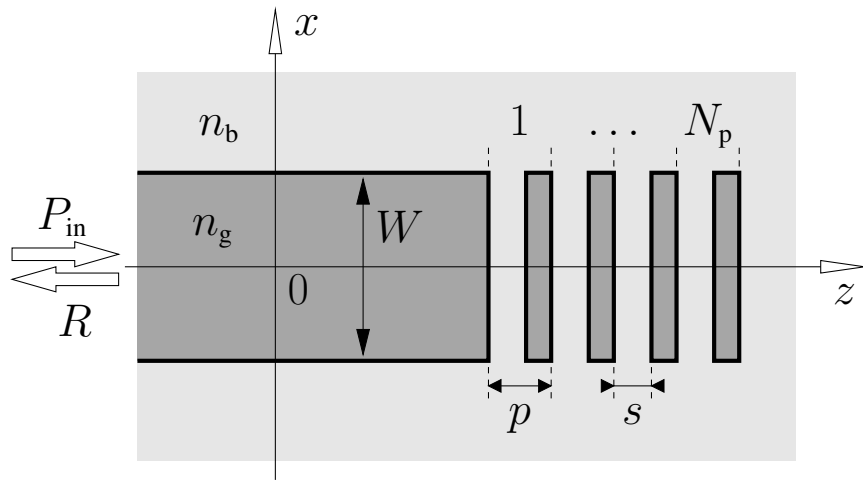
— QUEP, reference,
 ○ ○ ○ ○ HCMT.

Square cavity, resonance

$\lambda = 1.55 \mu\text{m}$:

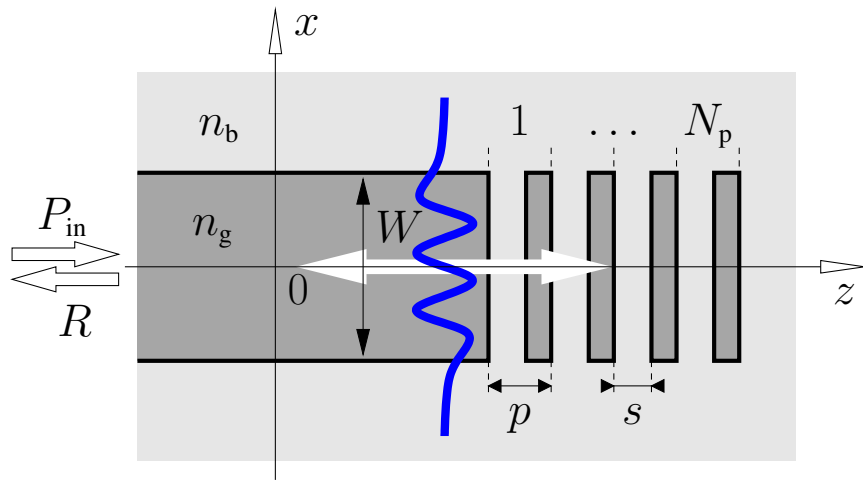


Waveguide Bragg reflector



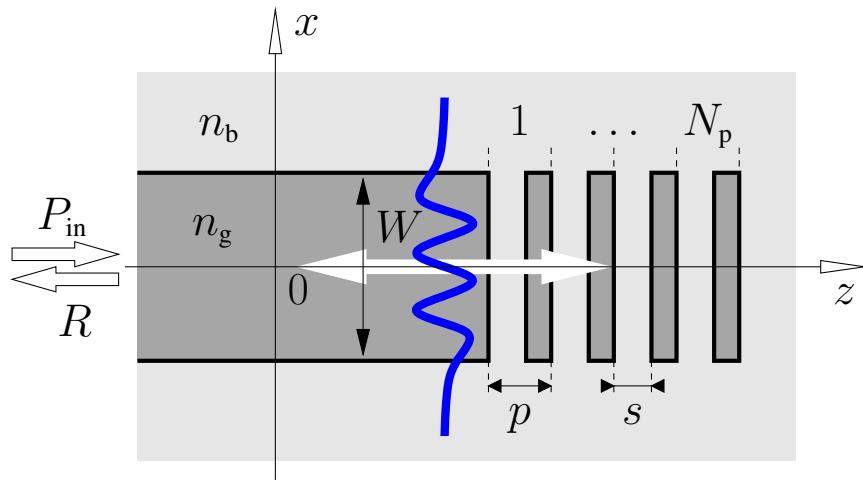
TE, $n_g = 1.6$, $n_b = 1.45$,
 $p = 1.538 \mu\text{m}$, $s = 0.281 \mu\text{m}$,
 $N_p = 40$, $W = 9.955 \mu\text{m}$.

Waveguide Bragg reflector

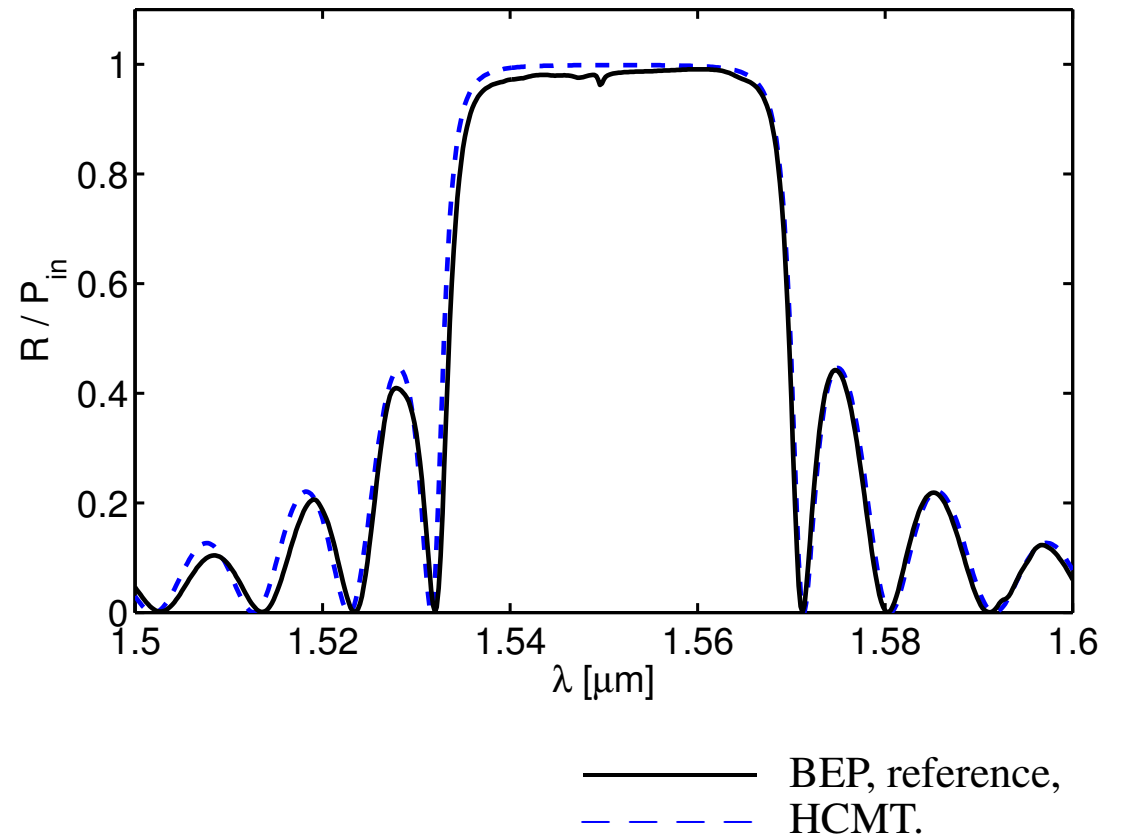


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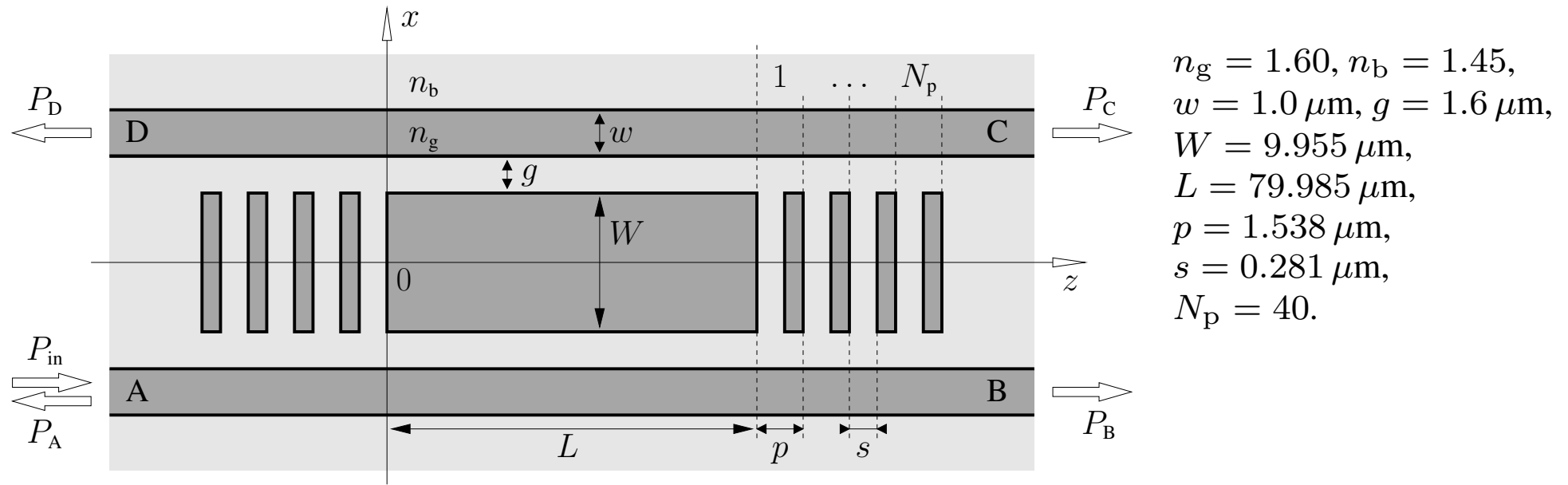
Waveguide Bragg reflector



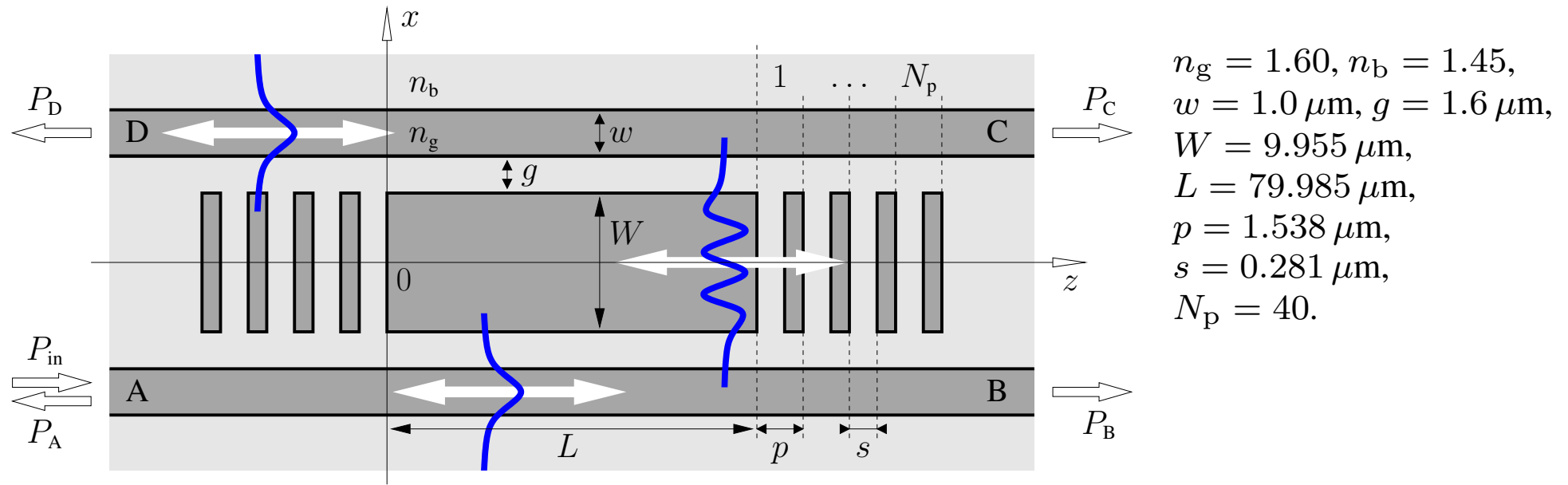
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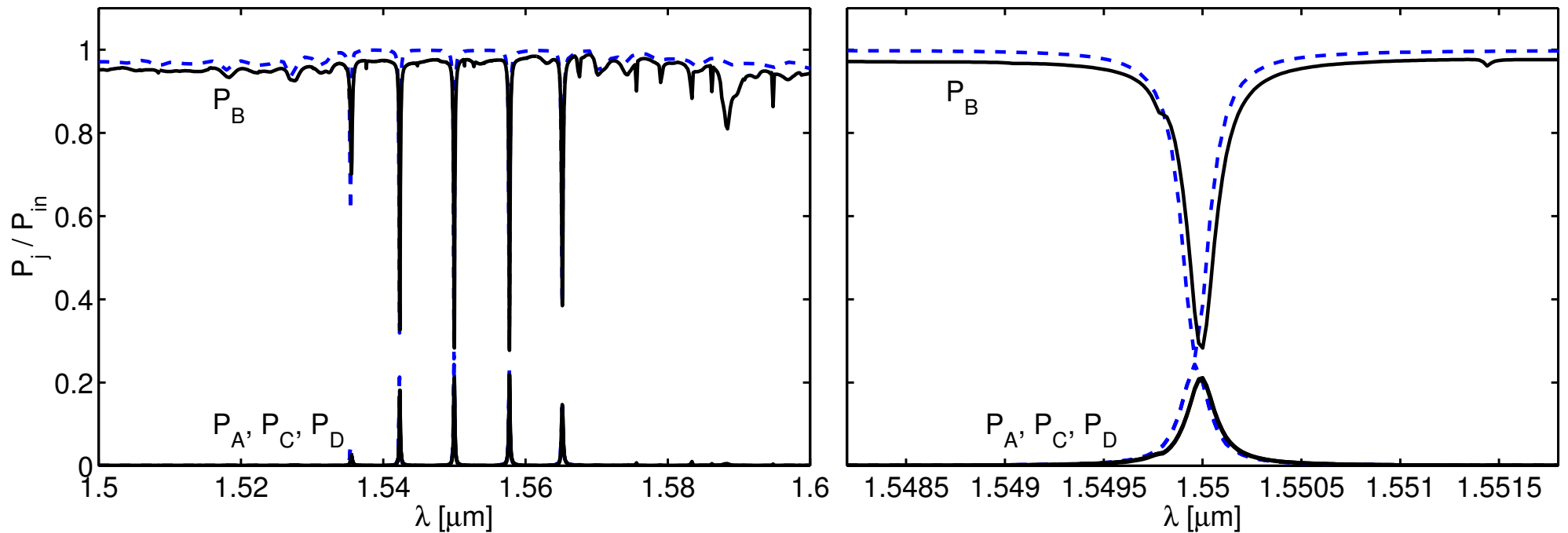
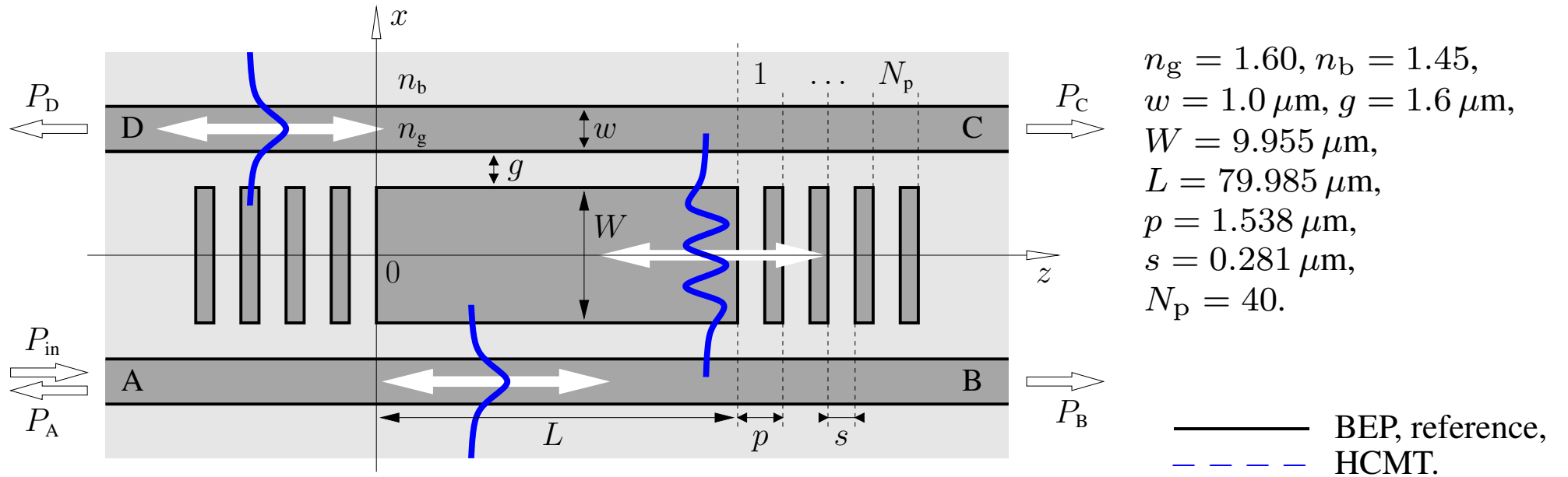
Grating-assisted rectangular resonator



Grating-assisted rectangular resonator



Grating-assisted rectangular resonator



Hybrid analytical / numerical coupled mode modeling

HCMT:

- a quantitative, quite general CMT variant, alternatively
- a numerical (FEM) approach with highly specialized base functions,
- extension to 3-D (?): numerical basis fields, still moderate effort,
- reasonably versatile:

