

An open rectangular dielectric optical cavity with unlimited Q



Manfred Hammer*, Lena Ebers, Jens Förstner

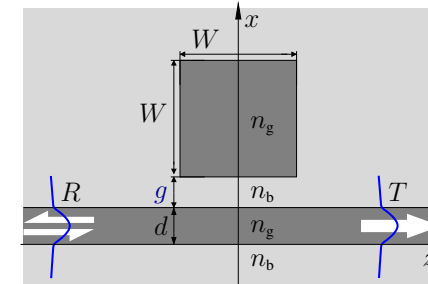
Theoretical Electrical Engineering
Paderborn University, Germany

XXVII International Workshop on Optical Wave & Waveguide Theory and Numerical Modelling, OWTNM 2019
Málaga, Spain — May 10–11, 2019

*Theoretical Electrical Engineering, Paderborn University
Warburger Straße 100, 33098 Paderborn, Germany

Phone: +49(0)5251/60-3560
E-mail: manfred.hammer@uni-paderborn.de

An open dielectric resonator with a rectangular cavity

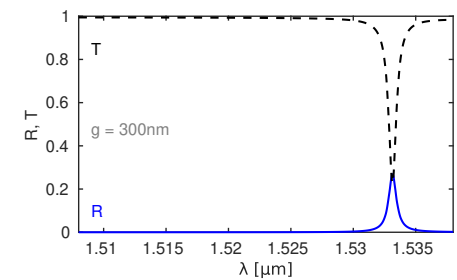
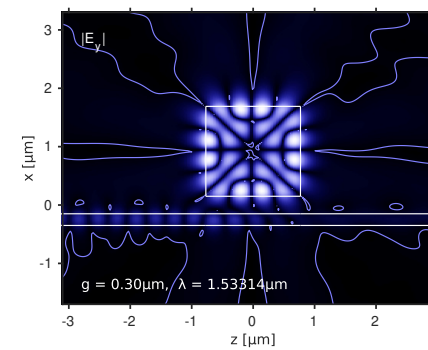
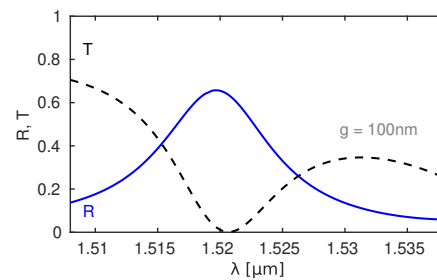
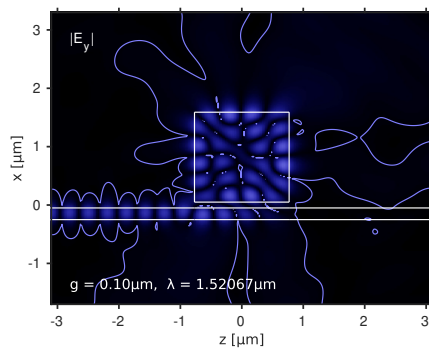


(2-D)

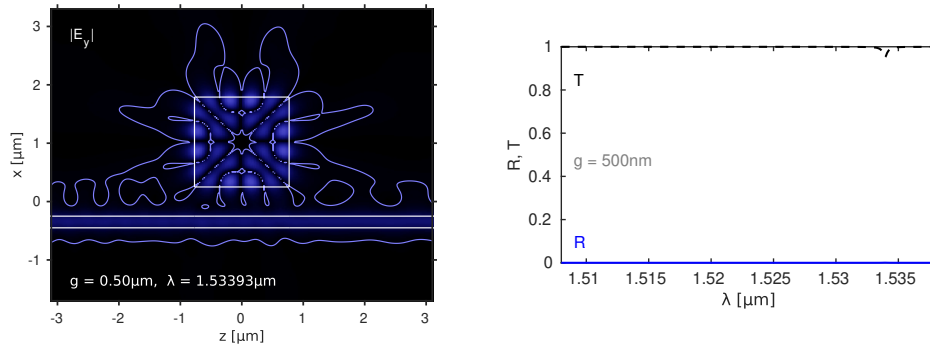
$n_g = 3.2$, $n_b = 1.0$,
 $d = 0.2 \mu\text{m}$, $W = 1.54 \mu\text{m}$, variable g ,
 $\lambda \in [1.508, 1.538] \mu\text{m}$, in: TE_0 .

An open dielectric resonator with a rectangular cavity

An open dielectric resonator with a rectangular cavity



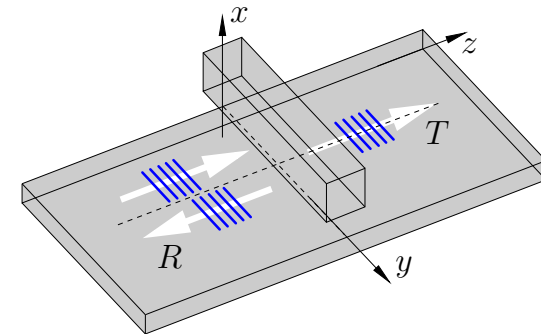
An open dielectric resonator with a rectangular cavity



3

An open dielectric resonator with a rectangular cavity

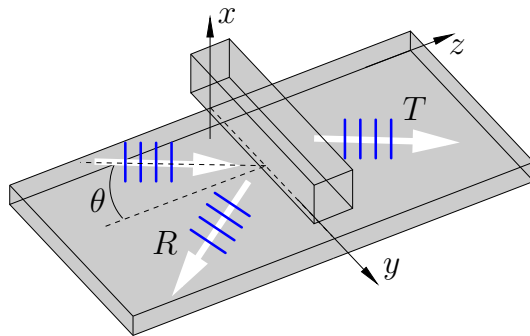
(2-D) $\partial_y \epsilon = 0, \partial_y (\mathbf{E}, \mathbf{H}) = 0$



4

An open dielectric resonator with a rectangular cavity

(2.5-D) $\partial_y \epsilon = 0, (\mathbf{E}, \mathbf{H}) \sim \exp(-ik_y y), k_y \sim \sin \theta$

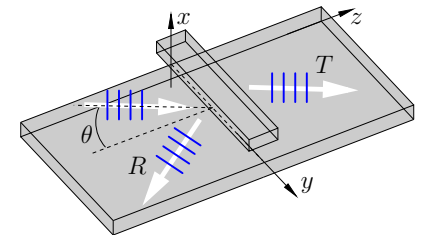


4

An open rectangular dielectric optical cavity with unlimited Q

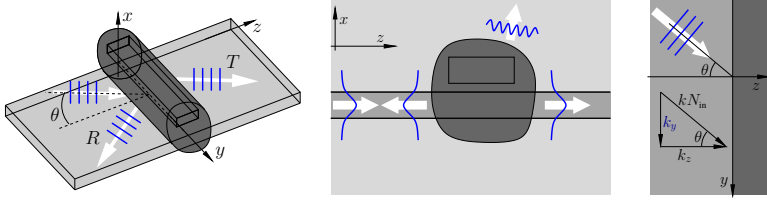
Overview

- Oblique incidence of semi-guided waves
- Snell's law, critical angles
- Strip resonator, resonance properties



5

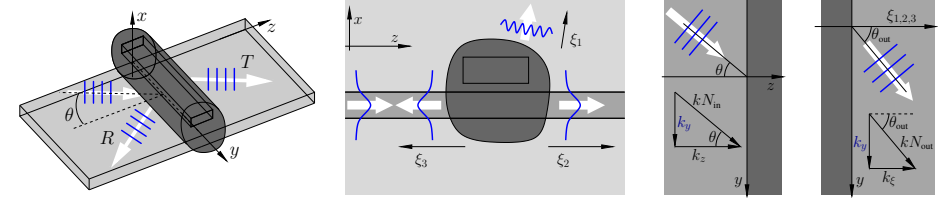
Semi guided waves at oblique angles of incidence



$$\sim e^{i\omega t}, \quad \omega = kc = 2\pi c/\lambda$$

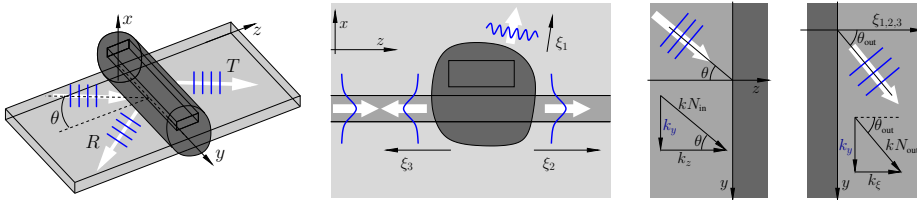
- Incoming slab mode $\{N_{\text{in}}; \Psi_{\text{in}}\}$, $(\mathbf{E}, \mathbf{H}) \sim \Psi_{\text{in}}(x) e^{-i(k_y y + k_z z)}$,
incidence angle θ , $k^2 N_{\text{in}}^2 = k_y^2 + k_z^2$, $k_y = k N_{\text{in}} \sin \theta$.
- y-homogeneous problem: $(\mathbf{E}, \mathbf{H}) \sim e^{-i k_y y}$ everywhere.

Semi guided waves at oblique angles of incidence



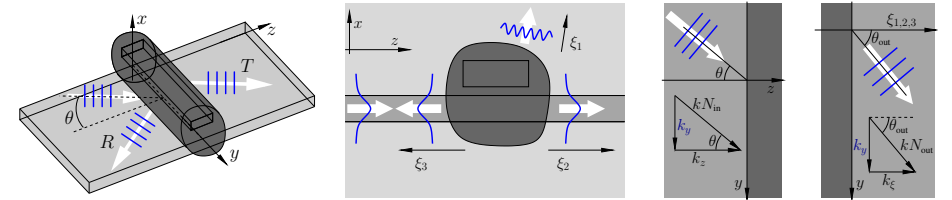
- Outgoing wave $\{N_{\text{out}}; \Psi_{\text{out}}\}$, $(\mathbf{E}, \mathbf{H}) \sim \Psi_{\text{out}}(\cdot) e^{-i(k_y y + k_\xi \xi)}$,
 $k^2 N_{\text{out}}^2 = k_y^2 + k_\xi^2$, $k_y = k N_{\text{in}} \sin \theta$.
- $k^2 N_{\text{out}}^2 > k_y^2$: $k_\xi = k N_{\text{out}} \cos \theta_{\text{out}}$, wave propagating at angle θ_{out} ,
 $N_{\text{out}} \sin \theta_{\text{out}} = N_{\text{in}} \sin \theta$.

Semi guided waves at oblique angles of incidence



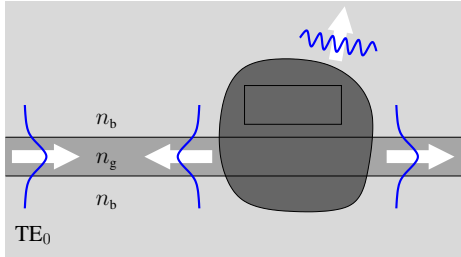
- Outgoing wave $\{N_{\text{out}}; \Psi_{\text{out}}\}$, $(\mathbf{E}, \mathbf{H}) \sim \Psi_{\text{out}}(\cdot) e^{-i(k_y y + k_\xi \xi)}$,
 $k^2 N_{\text{out}}^2 = k_y^2 + k_\xi^2$, $k_y = k N_{\text{in}} \sin \theta$.
- $k^2 N_{\text{out}}^2 < k_y^2$: $k_\xi = -i \sqrt{k_y^2 - k^2 N_{\text{out}}^2}$, ξ -evanescent wave,
the outgoing wave does not carry optical power.

Semi guided waves at oblique angles of incidence



- Outgoing wave $\{N_{\text{out}}; \Psi_{\text{out}}\}$, $(\mathbf{E}, \mathbf{H}) \sim \Psi_{\text{out}}(\cdot) e^{-i(k_y y + k_\xi \xi)}$,
 $k^2 N_{\text{out}}^2 = k_y^2 + k_\xi^2$, $k_y = k N_{\text{in}} \sin \theta$.
- Scan over θ :
change from ξ -propagating to ξ -evanescent if $k^2 N_{\text{out}}^2 = k^2 N_{\text{in}}^2 \sin^2 \theta$
 ➡ mode $\{N_{\text{out}}; \Psi_{\text{out}}\}$ does not carry power for $\theta > \theta_{\text{cr}}$,
critical angle θ_{cr} , $\sin \theta_{\text{cr}} = N_{\text{out}}/N_{\text{in}}$.

Critical angles

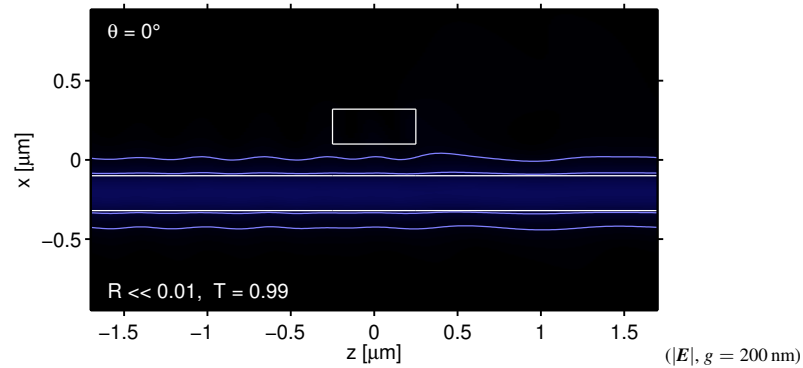


$n_g > n_b$,
single mode slabs, $N_{TE0} > N_{TM0} > n_b$,
in: TE_0 .

- Propagation in the cladding relates to effective indices $N_{out} \leq n_b$
 $\rightarrow R_{TE0} + R_{TM0} + T_{TE0} + T_{TM0} = 1$ for $\theta > \theta_b$, $\sin \theta_b = n_b / N_{TE0}$.
- TM polarized waves relate to effective mode indices $N_{out} \leq N_{TM0}$
 $\rightarrow R_{TM0} = T_{TM0} = 0$, $R_{TE0} + T_{TE0} = 1$ for $\theta > \theta_{TM}$, $\sin \theta_{TM} = N_{TM0} / N_{TE0}$.

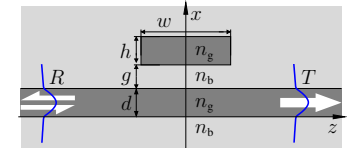
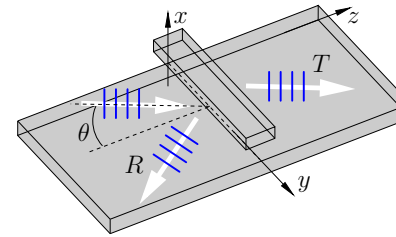
7

Strip resonator, fields



9

Oblique resonant excitation of a dielectric strip



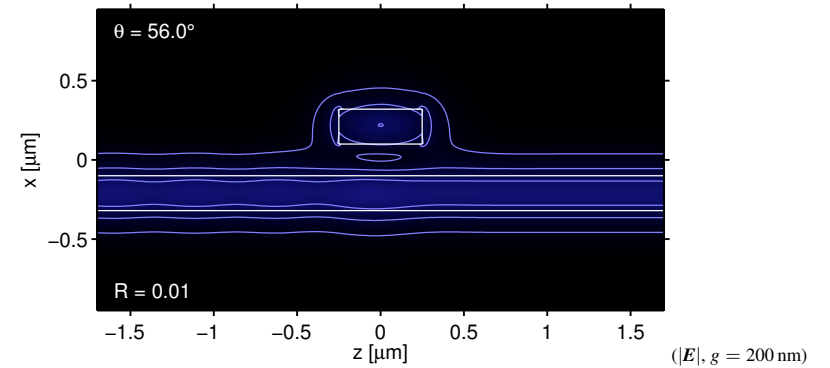
$n_g = 3.45$, $n_b = 1.45$,
 $d = 0.22 \mu\text{m}$, $\lambda = 1.55 \mu\text{m}$, in: TE_0 ,
 $\theta_b = 30.9^\circ$, $\theta_{TM} = 46.3^\circ$,
 $h = 0.22 \mu\text{m}$, $w = 0.5 \mu\text{m}$, g ,
 $N_m = 2.419$, $\lambda_m = 1.55 \mu\text{m}$, $\theta_m = 58.99^\circ$.

The strip supports a guided TE-like mode with effective index N_m @ $\lambda = \lambda_m$

- Resonant interaction with the waves in the slab expected at $\theta \approx \theta_m$,
 where $k_y = k N_{in} \sin \theta \approx k N_m$, $\sin \theta_m = N_m / N_{in}$.

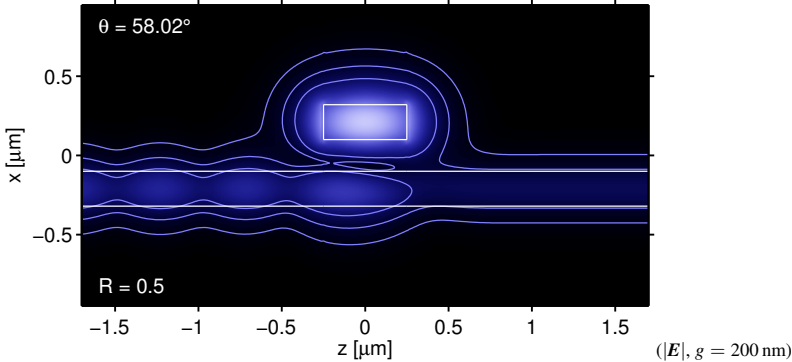
8

Strip resonator, fields

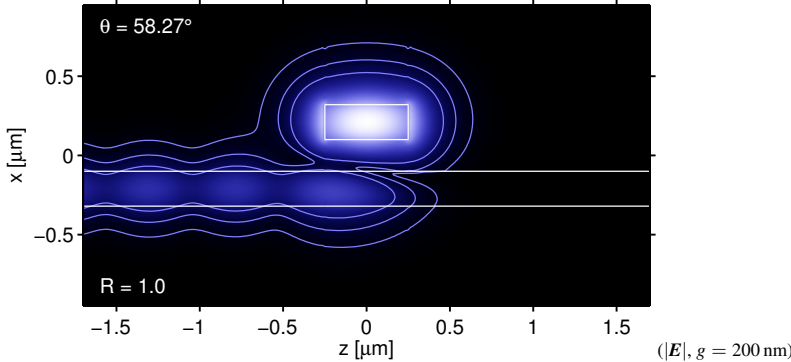


9

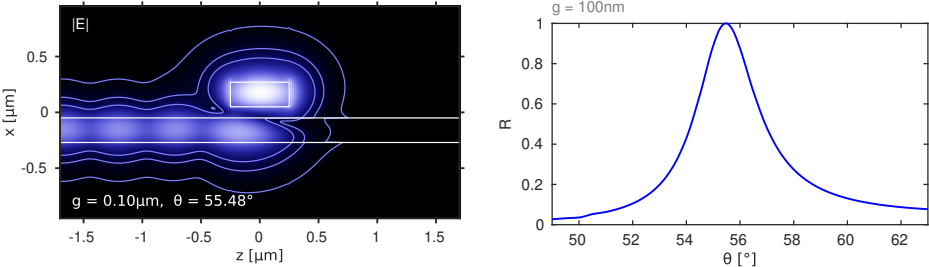
Strip resonator, fields



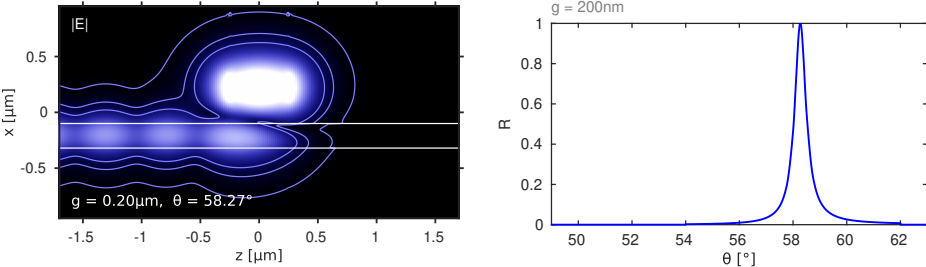
Strip resonator, fields



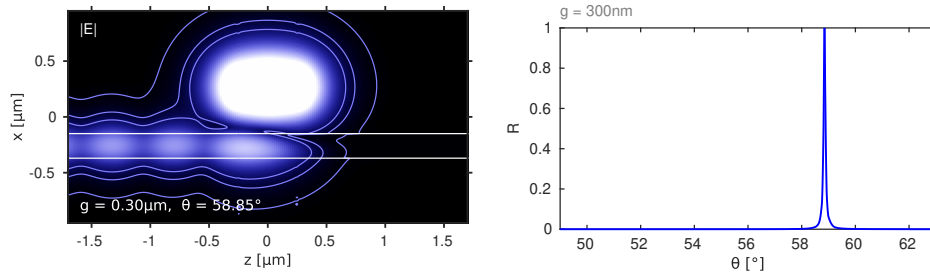
Oblique resonant excitation of a dielectric strip



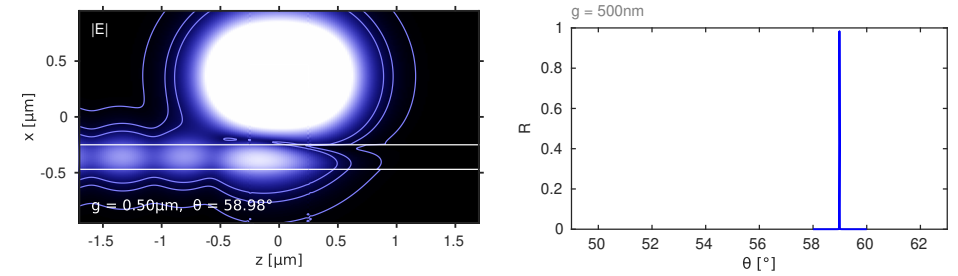
Oblique resonant excitation of a dielectric strip



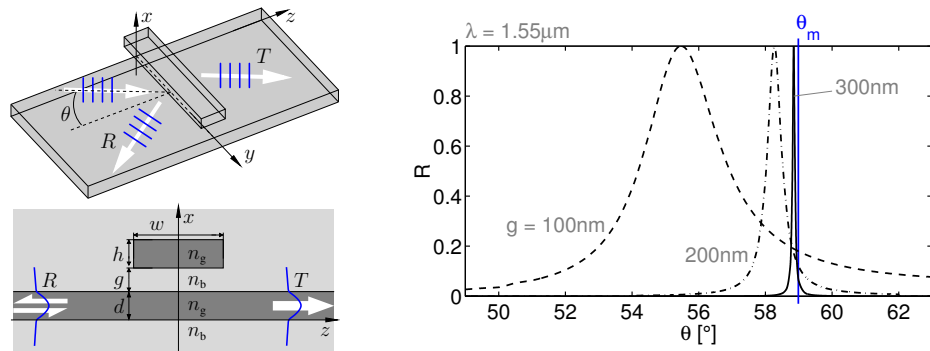
Oblique resonant excitation of a dielectric strip



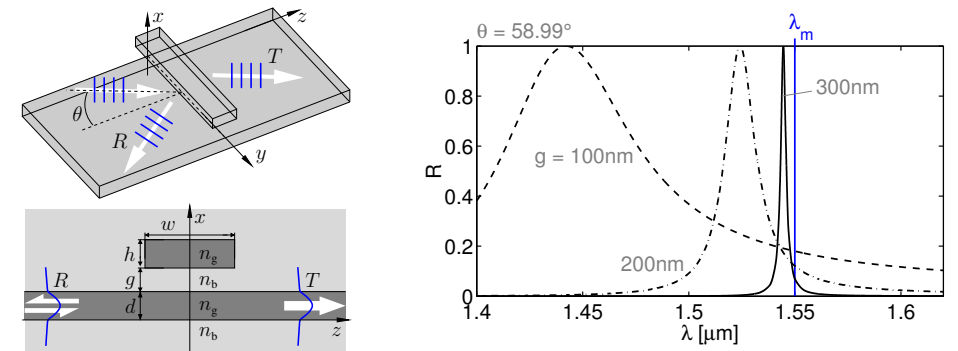
Oblique resonant excitation of a dielectric strip



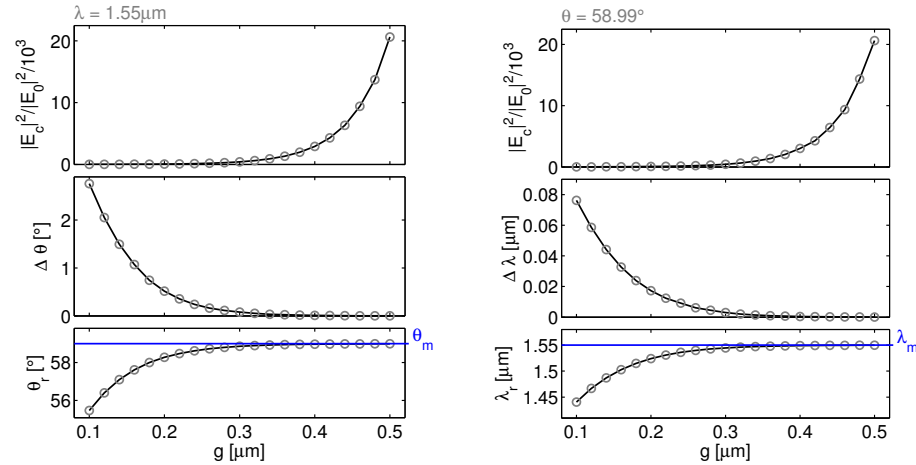
Oblique resonant excitation of a dielectric strip



Oblique resonant excitation of a dielectric strip



Strip resonator, resonance properties



Navigation icons

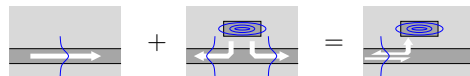
12

Strip resonator, formation of resonances

(fixed $\theta = \theta_m$, variable λ, ω)

Relevant states:

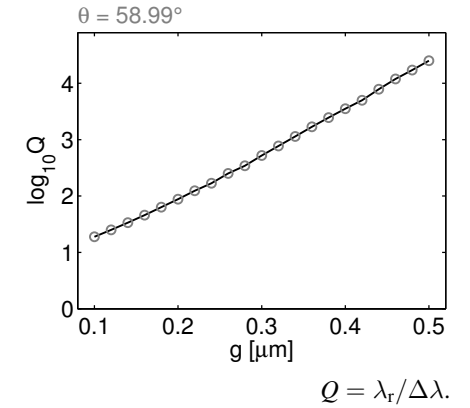
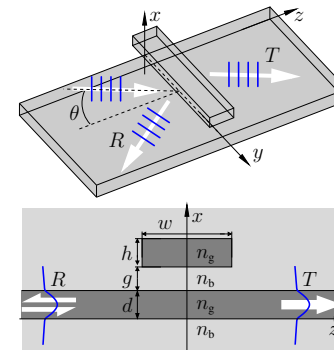
- the bound state Ψ_m of the isolated cavity (large g), eigenfrequency $\omega_m = 2\pi c/\lambda_m \in \mathbb{R}$,
- a continuum of guided waves Ψ_s in the isolated slab (large g), frequencies $\omega \in [\omega_0, \omega_1]$, where $\omega_0 < \omega_m < \omega_1$,
- the leaky eigenstate Ψ_c of the composite system (finite g), eigenfrequency $\omega_c \in \mathbb{C}$, $\Psi_c \rightarrow \Psi_m$ with $\omega_c \rightarrow \omega_m$ at large g ,
- the resonant transmission state Ψ_t (finite g), a superposition of Ψ_c and Ψ_s .



Navigation icons

13

Strip resonator, resonance properties



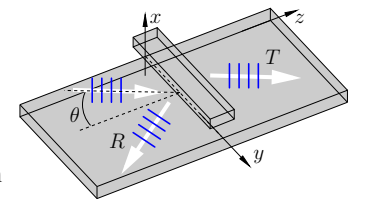
Navigation icons

12

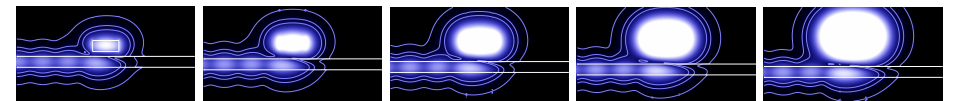
Concluding remarks

Oblique semi-guided excitation of a dielectric strip:

- an open dielectric resonator with unlimited Q ,
- exceptionally simple,
- a system that supports a bound state and a continuum of waves in a frequency range that covers the real eigenfrequency of the bound state: “Bound state Coupled to a Continuum” (BCC).



(... BIC?)



Navigation icons

14